

# A symbolic-numeric algorithm for genus computation

Mădălina Hodorog  
Supervisor: Prof. Dr. Josef Schicho

Johann Radon Institute for Computational and Applied Mathematics,  
Austrian Academy of Sciences,  
Research Institute for Symbolic Computation,  
Johannes Kepler University Linz, Austria

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## 1 Introduction

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# Describing the problem

- **Input:**

- $\mathbb{C}$  field of complex numbers;
- $F \in \mathbb{C}[z, w]$  irreducible with coefficients of **limited accuracy**<sup>1</sup>;
- $C = \{(z, w) \in \mathbb{C}^2 \mid F(z, w) = 0\} = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x + iy, u + iv) = 0\}$  complex algebraic curve (d-degree,  $Sing(C)$  set of singularities);

- **Output:**

- **approximate**  $genus(C)$  s.t.

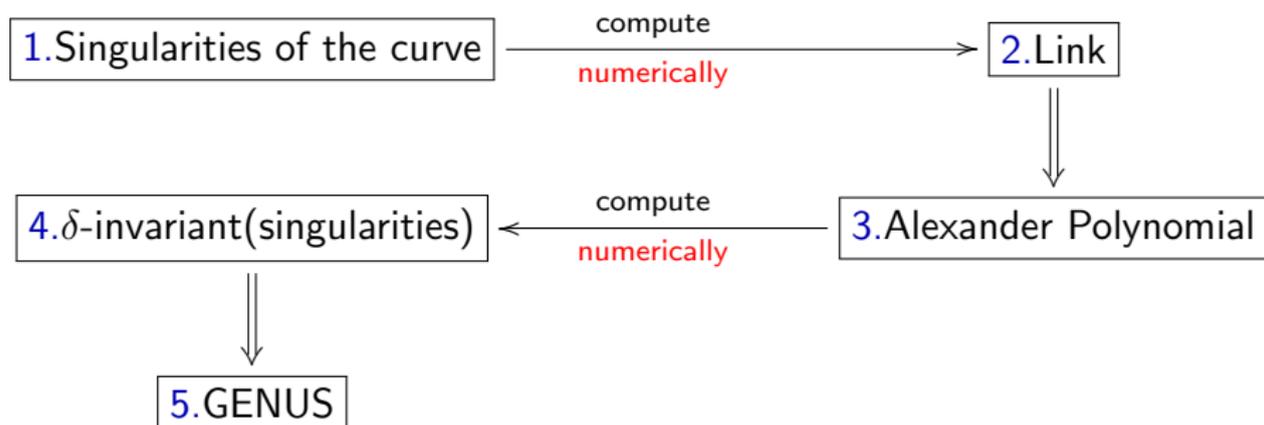
$$genus(C) = \frac{1}{2}(d-1)(d-2) - \sum_{P \in Sing(C)} \delta\text{-invariant}(P);$$

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<sup>1</sup>For now: symbolic coefficients

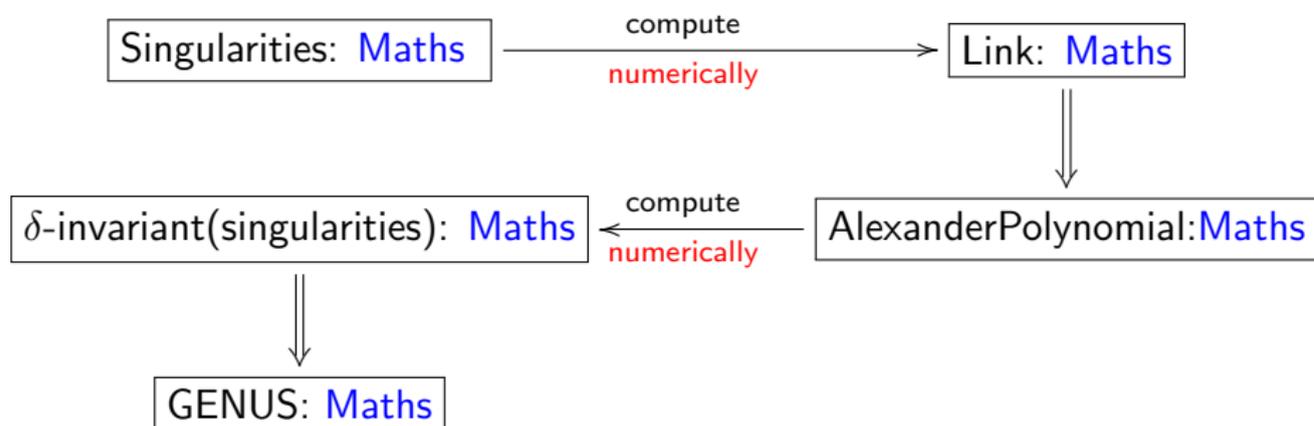
# Solving the problem

- Strategy for computing the genus



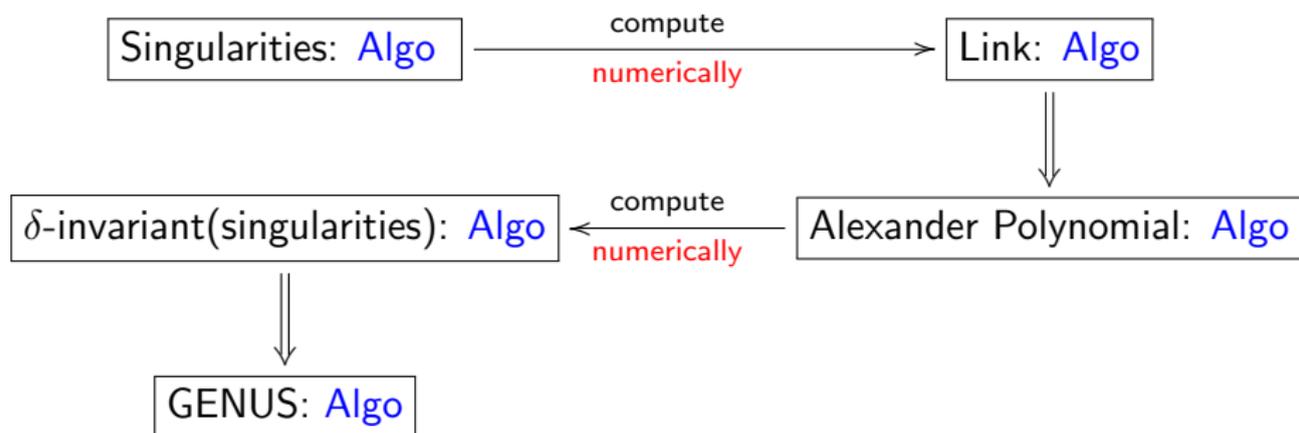
# Solving the problem

- Method for computing the genus



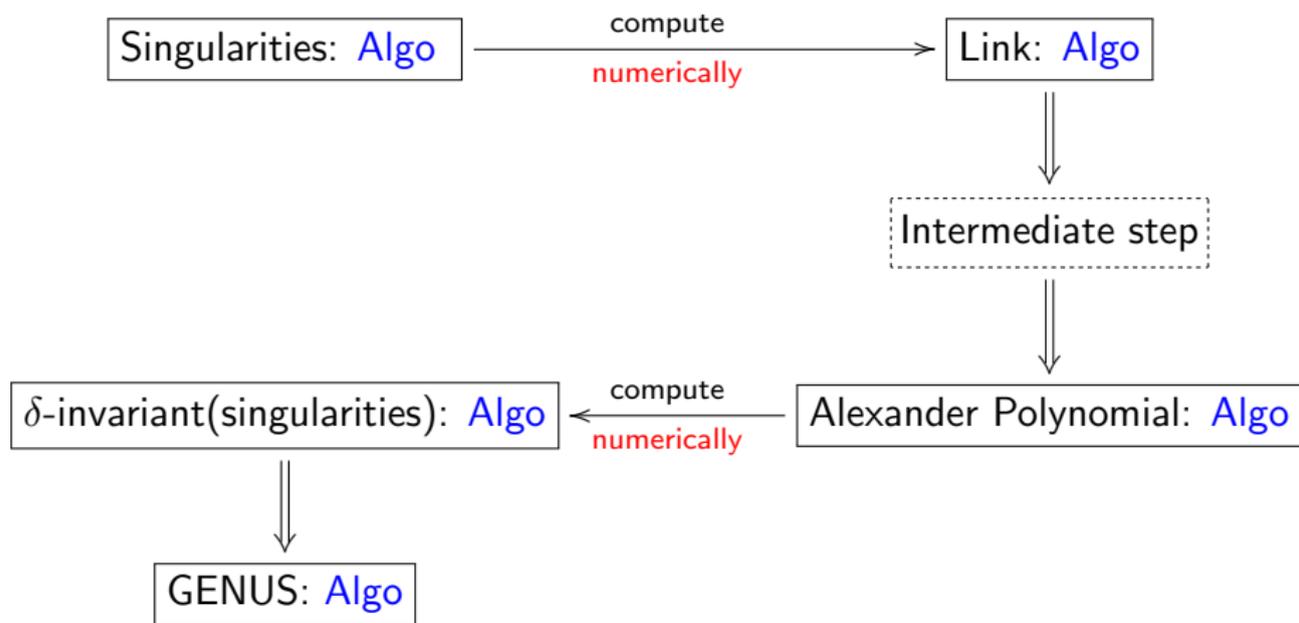
# Solving the problem

- Algorithm for the method



# Solving the problem

- Algorithm for the method



# Solving the problem

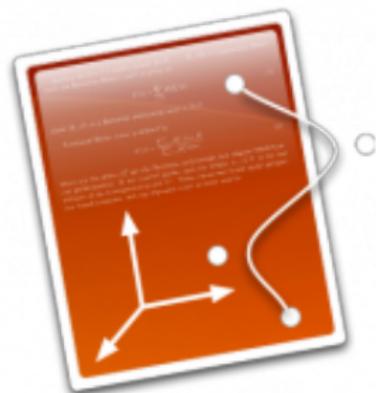
## Implementation of the algorithm

- *Mathematica* computer algebra system
- *Axel* algebraic geometric modeler
  - developed by *Galaad* team (INRIA Sophia-Antipolis);
  - written in Qt scripting language;
  - topology of implicit curves;
  - intersections of implicit surfaces.

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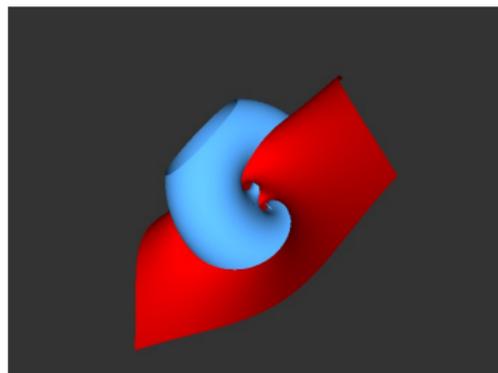
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# Computing the link of the singularity

## Method (based on Milnor's results)

1. Let  $C = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x + iy, u + iv) = 0\} \subset \mathbb{C}^2 \cong \mathbb{R}^4$ , with  $(F(0, 0), \frac{\delta F}{\delta z}(0, 0), \frac{\delta F}{\delta w}(0, 0)) = (0, 0, 0)$ , where  $z = x + iy, w = u + iv$ .

2. Consider  $S^3 = \{(x, y, u, v) \in \mathbb{R}^4 \mid x^2 + y^2 + u^2 + v^2 = \epsilon^2\} \subset \mathbb{R}^4$  and  $X = C \cap S^3 = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x, y, u, v) = 0, x^2 + y^2 + u^2 + v^2 = \epsilon^2\}$ .

3. For  $P(0, 0, 0, \epsilon) \in S^3 \setminus C$ , construct

$$f : S^3 \setminus \{P\} \subset \mathbb{R}^4 \rightarrow \mathbb{R}^3, (x, y, u, v) \rightarrow (a, b, c) = \left(\frac{x}{\epsilon - v}, \frac{y}{\epsilon - v}, \frac{u}{\epsilon - v}\right)$$

$$f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \exists (x, y, u, v) \in C \cap S^3 : (a, b, c) = f(x, y, u, v)\}$$

$f(X)$  is a link.

# Computing the link of the singularity

## Method (next)

$$3. \quad f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \exists (x, y, u, v) \in C \cap S^3 : (a, b, c) = f(x, y, u, v)\}$$
$$f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \exists (x, y, u, v) = f^{-1}(a, b, c) \in C \cap S^3\}$$

$$4. \quad \text{Compute } f^{-1} : \mathbb{R}^3 \rightarrow S^3 \setminus \{P\}$$

$$(a, b, c) \rightarrow (x, y, u, v) = \left( \frac{2a\epsilon}{1+a^2+b^2+c^2}, \frac{2b\epsilon}{1+a^2+b^2+c^2}, \frac{2c\epsilon}{1+a^2+b^2+c^2}, \frac{\epsilon(a^2+b^2+c^2-1)}{1+a^2+b^2+c^2} \right)$$

$$5. \quad \text{Get } f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid$$
$$F\left(\frac{2a\epsilon}{1+a^2+b^2+c^2}, \frac{2b\epsilon}{1+a^2+b^2+c^2}, \frac{2c\epsilon}{1+a^2+b^2+c^2}, \frac{\epsilon(a^2+b^2+c^2-1)}{1+a^2+b^2+c^2}\right) = 0\} \Leftrightarrow$$

$$f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \text{Re}F(\dots) = 0, \text{Im}F(\dots) = 0\}$$

# Computing the link of the singularity

## Method (next)

$$3. \quad f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \exists (x, y, u, v) \in C \cap S^3 : (a, b, c) = f(x, y, u, v)\}$$
$$f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \exists (x, y, u, v) = f^{-1}(a, b, c) \in C \cap S^3\}$$

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$$5. \quad \text{Get } f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid F\left(\frac{2a\epsilon}{1+a^2+b^2+c^2}, \frac{2b\epsilon}{1+a^2+b^2+c^2}, \frac{2c\epsilon}{1+a^2+b^2+c^2}, \frac{\epsilon(a^2+b^2+c^2-1)}{1+a^2+b^2+c^2}\right) = 0\}$$

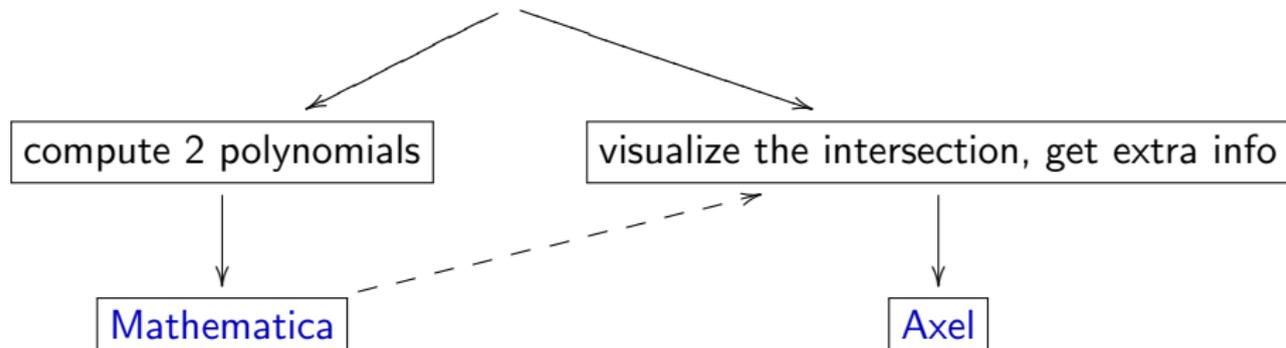
Compute  $B$  s.t.

$$f(X) = \{(a, b, c) \in B \subset \mathbb{R}^3 \mid \text{Re}F(\dots) = 0, \text{Im}F(\dots) = 0\} \quad \text{is a link}$$

# Computing the link of the singularity

## Method (summary)

$$f(X) = \{(a, b, c) \in B \subset \mathbb{R}^3 \mid \operatorname{Re}F(\dots) = 0, \operatorname{Im}F(\dots) = 0\}$$



# Computing the link of the singularity

## Why Axel?

Axel computes the topology of implicit curves in  $\mathbb{R}^3$ .

- Input:

- $f, g \in \mathbb{R}[x, y, z]$
- $C = \{(x, y, z) \in \mathbb{R}^3 \mid f(x, y, z) = 0, g(x, y, z) = 0\}$
- $D = [a_0, b_0] \times [a_1, b_1] \times [a_2, b_2], \epsilon \geq 0$

- Output:

- $Graph(C) = \langle \mathcal{V}, \mathcal{E} \rangle$  with  
 $\mathcal{V} = \{p = (a, b, c) \in \mathbb{R}^3\}$   
 $\mathcal{E} = \{(i, j) \mid i, j \in \mathcal{V}\}$

- s.t.  $Graph(C) \cong_{isotopic} C$

# Computing the link of the singularity

## Algorithm

- Get the 2 polynomials
- Compute the box  $B$
- Generate the Axel file

## Implementation-MMA

- `formEqns[ $z^2 - w^2$ , 1]`
- `getBoxValue[ $z^2 - w^2$ , 1]`
- `genAxelFile[ $z^2 - w^2$ , 1, "ex.axl"]`

- Note: We run the obtained file "`ex.axl`" with Axel.

# Computing the link of the singularity

## Test experiments (with [Axel](#))

Equation	Tests on $\epsilon$					
	$\epsilon=0.5$		$\epsilon=1.0$		$\epsilon=4.3$	
$z^2 - w^2$	$[-b, b]^3$ 2.41421	link <b>Hopf link</b>	$[-b, b]^3$ 2.41421	link Hopf link	$[-b, b]^3$ 2.41421	link Hopf link
$z^2 - w^3$	3.38298	<b>Trefoil knot</b>	2.67567	Trefoil knot	1.84639	Trefoil knot
$z^2 - w^2 - w^3$	2.37636	<b>Hopf link</b>	2.28464	Curve one singularity	2.24247	Trefoil knot

V.I. Arnold's results:  $Top(z^2 - w^2 - w^3) \cong Top(z^2 - w^2)$

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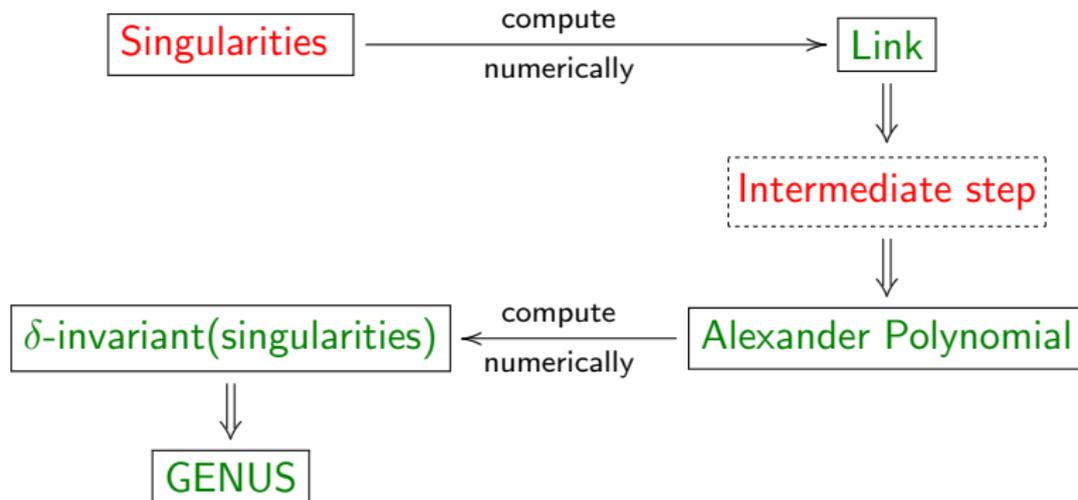
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# Summary

- At present: for symbolic coefficients



- Future work: tests for algorithm with numeric coefficients

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# Conclusion

- first results and test experiments were presented;
- **Future work:**
  - deeper introspection into some mathematical aspects (i.e. Milnor's fibration, Alexander polynomial);
  - correctness/completeness for the algorithm;
  - implementation of the algorithm;
  - analysis of the algorithm.



Thank you for your attention.

