

# Why knot? Alternative solution to the genus computation problem

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Joint work with Bernard Mourrain<sup>2</sup>

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October 20, 2009

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# 1 Motivation

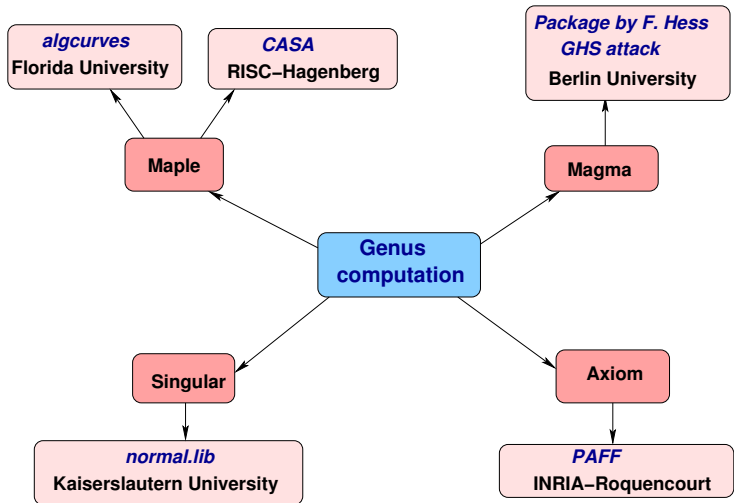
## 2 Describing the problem What?

## 3 Solving the problem How?

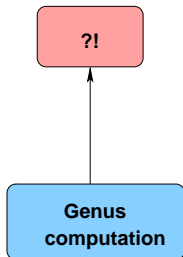
## 4 Current results

## 5 Conclusion and future work

## Symbolic Algorithms:

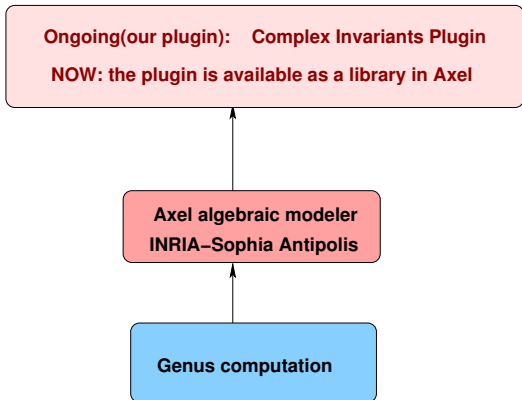


## Numeric Algorithms:



## Symbolic-Numeric Algorithms:

DK Project: Symbolic-Numeric techniques for genus computation and parametrization (initiated by Prof. Josef Schicho).



① Motivation

② Describing the problem  
What?

③ Solving the problem  
How?

④ Current results

⑤ Conclusion and future work

# What?

- **Input:**

- $\mathbb{C}$  field of complex numbers;
- $F \in \mathbb{C}[z, w]$  irreducible with coefficients of **limited accuracy**<sup>1</sup>;
- $C = \{(z, w) \in \mathbb{C}^2 \mid F(z, w) = 0\} = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x + iy, u + iv) = 0\}$  complex algebraic curve (d is the degree);

- **Output:**

- **approximate**  $genus(C)$  s.t.

$$genus(C) = \frac{1}{2}(d-1)(d-2) - \sum_{P \in Sing(C)} \delta\text{-invariant}(P),$$

where  $Sing(C)$  is the set of singularities of the curve  $C$ .

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<sup>1</sup>For now: symbolic coefficients



① Motivation

② Describing the problem  
What?

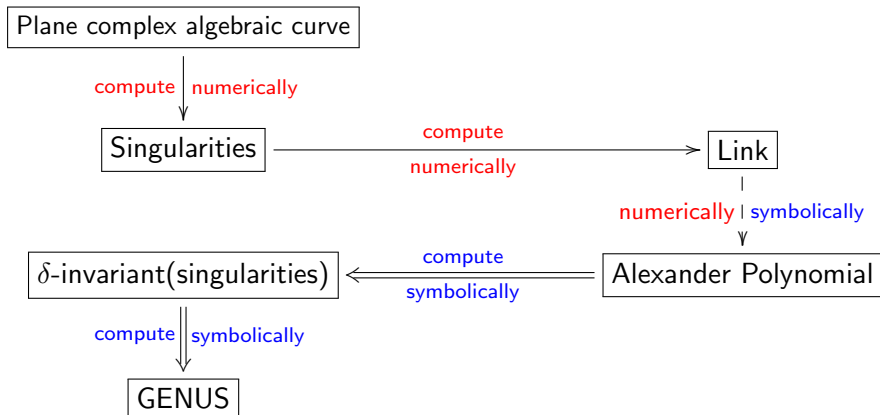
③ Solving the problem  
How?

④ Current results

⑤ Conclusion and future work

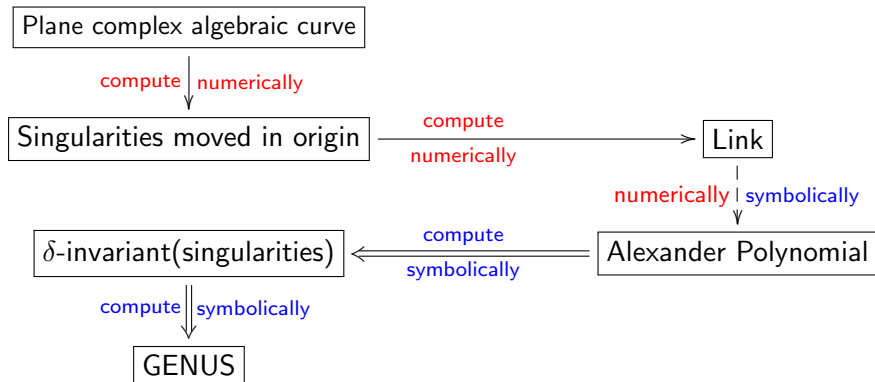
# How?

- Strategy for computing the genus



# How?

- Strategy for computing the genus



# Solving the problem

## Implementation of the algorithm

- *Axel* algebraic geometric modeler <sup>a</sup>
  - developed by *Galaad* team (INRIA Sophia-Antipolis);
  - in C++, Qt scripting language;
  - provides algebraic tools for:
    - implicit surfaces;
    - implicit curves.
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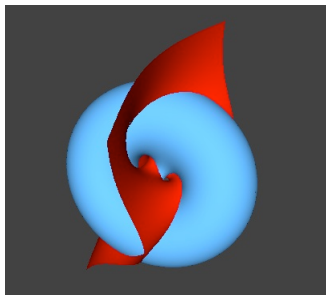
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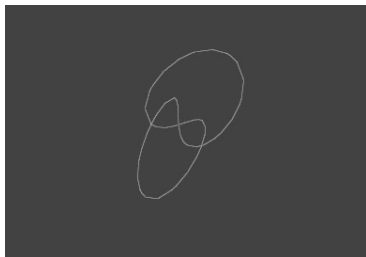
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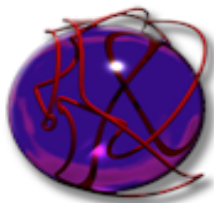
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<http://axel.inria.fr/>

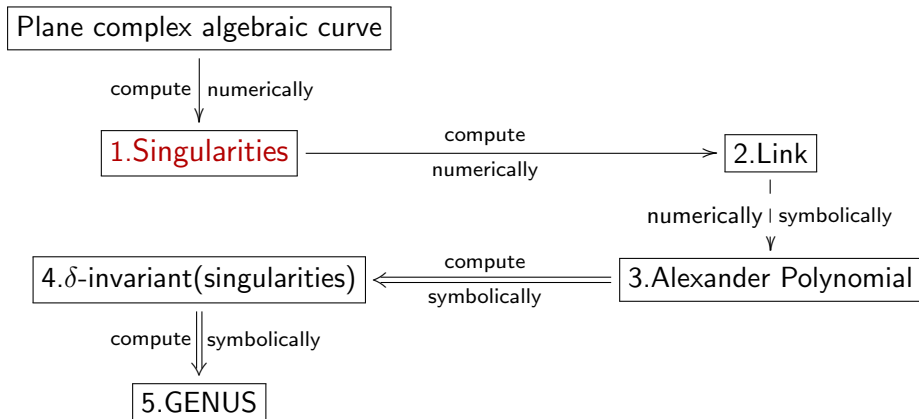
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# First



# Computing the singularities of the curve

- **Input:**

- $F \in \mathbb{C}[z, w]$
- $C = \{(z, w) \in \mathbb{C}^2 \mid F(z, w) = 0\}$

- **Output:**

- $Sing(C) = \{(z_0, w_0) \in \mathbb{C}^2 \mid F(z_0, w_0) = 0, \frac{\partial F}{\partial z}(z_0, w_0) = 0, \frac{\partial F}{\partial w}(z_0, w_0) = 0\}$

**Method:**  $\Rightarrow$  solve overdeterminate system of polynomial equations in  $\mathbb{C}^2$ :

$$\left\{ \begin{array}{l} F(z_0, w_0) = 0 \\ \frac{\partial F}{\partial z}(z_0, w_0) = 0 \\ \frac{\partial F}{\partial w}(z_0, w_0) = 0 \end{array} \right. , \quad (1)$$

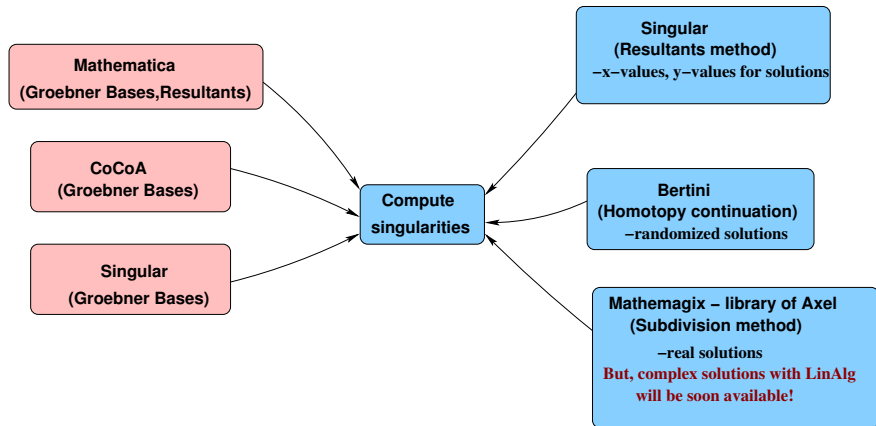
# Computing the singularities of the curve

or in  $\mathbb{R}^4$  :  $F(z, w) = F(x + iy, u + iv) = s(x, y, u, v) + it(x, y, u, v)$

$$\left\{ \begin{array}{l} s(x_0, y_0, u_0, v_0) = 0 \\ t(x_0, y_0, u_0, v_0) = 0 \\ \frac{\partial s}{\partial x}(x_0, y_0, u_0, v_0) = 0 \\ \frac{\partial t}{\partial x}(x_0, y_0, u_0, v_0) = 0 \\ \frac{\partial s}{\partial u}(x_0, y_0, u_0, v_0) = 0 \\ \frac{\delta t}{\delta u}(x_0, y_0, u_0, v_0) = 0 \end{array} \right. , \quad (2)$$

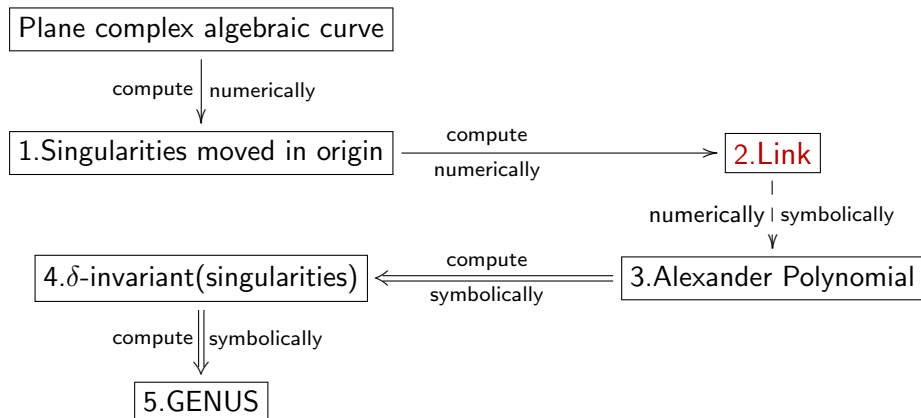
# Computing the singularities of the curve

For input polynomials with numeric coefficients



Note: so far this is an open problem.

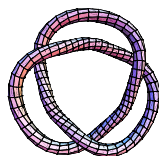
# Next



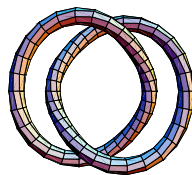
# Knot theory - preliminaries

- A **knot** is a simple closed curve in  $\mathbb{R}^3$ .
- A **link** is a finite union of disjoint knots.
- Links resulted from the intersection of a given curve with the sphere are called **algebraic links**.  
**Note:** Alexander polynomial is a complete invariant for the algebraic links (Yamamoto 1984).

Trefoil Knot

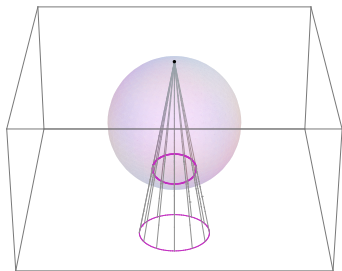


Hopf Link



# Computing the link of the singularity

- Why the link of a singularity?
  - helps to study the topology of a complex curve near a singularity;
- How do we compute the link?
  - use stereographic projection;





# Computing the link of the singularity

## Method (based on Milnor's results)

1. Let  $C = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x, y, u, v) = 0\}$  s.t.  $(0, 0, 0, 0) \in \text{Sing}(C)$
2. Consider  $S_{(0, \epsilon)} := S = \{(x, y, u, v) \in \mathbb{R}^4 \mid x^2 + y^2 + u^2 + v^2 = \epsilon^2\}$ ,  
 $X = C \cap S_{(0, \epsilon)} \subset \mathbb{R}^4$
3. For  $P \in S \setminus C$  take  $f : S \setminus \{P\} \rightarrow \mathbb{R}^3$ ,  $f(x, y, u, v) = (\frac{x}{\epsilon - v}, \frac{y}{\epsilon - v}, \frac{u}{\epsilon - v})$ ,  
 $f^{-1} : \mathbb{R}^3 \rightarrow S \setminus \{P\}$   
 $f^{-1}(a, b, c) = (\frac{2a\epsilon}{1+a^2+b^2+c^2}, \frac{2b\epsilon}{1+a^2+b^2+c^2}, \frac{2c\epsilon}{1+a^2+b^2+c^2}, \frac{\epsilon(a^2+b^2+c^2-1)}{1+a^2+b^2+c^2})$
4. Compute  $f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid F(\dots) = 0\} \Leftrightarrow$   
 $f(X) = \{(a, b, c) \in \mathbb{R}^3 \mid \text{Re}F(\dots) = 0, \text{Im}F(\dots) = 0\}$   
For small  $\epsilon$ ,  $f(X)$  is a link.

# Computing the link of the singularity

## Why Axel?

It computes numerically the topology of smooth implicit curves in  $\mathbb{R}^3$

- For  $C^4 = \{(z, w) \in \mathbb{C}^2 \mid z^3 - w^2 = 0\} \subset \mathbb{R}^4$  get
- $f(C^4 \cap S) := C =$   
 $= \{(a, b, c) \in \mathbb{R}^3 \mid \text{Re}F(\dots) = 0, \text{Im}F(\dots) = 0\}$
- compute  $\text{Graph}(C) = \langle \mathcal{V}, \mathcal{E} \rangle$  with  
 $\mathcal{V} = \{p = (m, n, q) \in \mathbb{R}^3\}$   
 $\mathcal{E} = \{(i, j) \mid i, j \in \mathcal{V}\}$
- s.t.  $\text{Graph}(C) \cong_{\text{isotopic}} C$

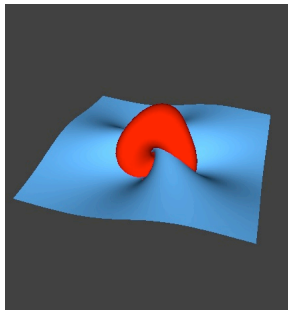


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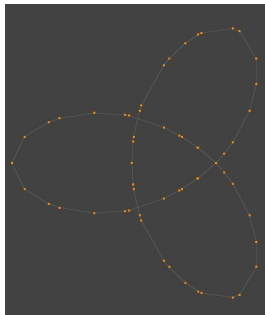


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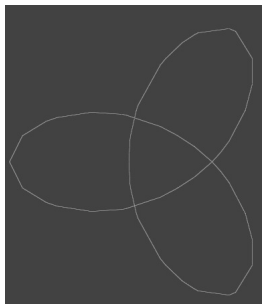


# Computing the link of the singularity

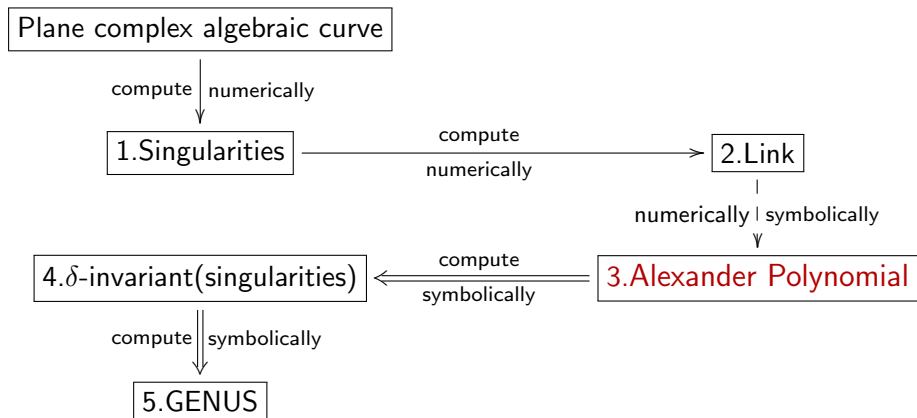
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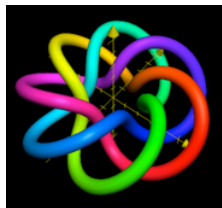
- For  $C^4 = \{(z, w) \in \mathbb{C}^2 \mid z^3 - w^2 = 0\} \subset \mathbb{R}^4$  get
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# Next



# Knot theory - preliminaries



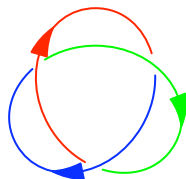
The Alexander polynomial was introduced by Alexander in 1928. It depends on the fundamental group of the complement of the knot in  $\mathbb{R}^3$ .

**Definition.** Let  $L$  be a link with  $n$  components. The multivariate Alexander polynomial is a Laurent polynomial  $\Delta_L \in \mathbb{Z}[t_0, \dots, t_n, t_0^{-1}, \dots, t_n^{-1}]$ , which is defined up to a factor of  $\pm t_0^{k_0} \dots t_n^{k_n}$ ,  $k_i \in \mathbb{Z}, \forall i \in \{0, \dots, n\}$ .

**Note.** At present there is no complete invariant to distinguish links in knot theory. But the Alexander polynomial is a complete invariant for the algebraic links (Yamamoto 1984).

# Knot theory - preliminaries

## Diagram and arcs



A knot projection is a **regular projection** if no three points on the knot project to the same point, and no vertex projects to the same point as any other point on the knot.

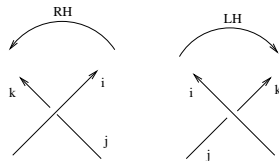
A **diagram** is the image under regular projection, together with the information on each crossing telling which branch goes over and which under.

A crossing is:

-**right-handed** if the underpass traffic goes from right to left.

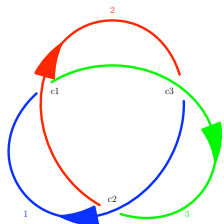
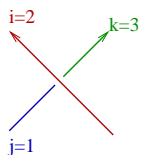
-**left-handed** if the underpass traffic goes from left to right.

## Crossings



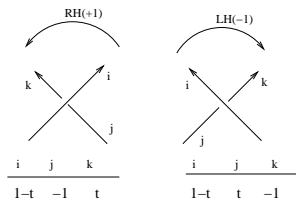


# Computing the Alexander polynomial of the link



$$M(L) = \left( \begin{array}{c|cccc} & type & label_i & label_j & label_k \\ \hline c_1 & -1 & 2 & 1 & 3 \end{array} \right)$$

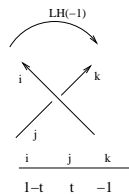
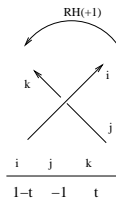
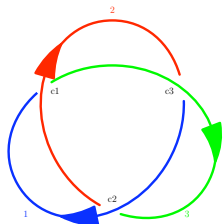
$$P(L) = \left( \begin{array}{c} \\ \\ \\ \end{array} \right)$$



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$$M(L) = \left( \begin{array}{c|cccc} & \text{type} & \text{label}_i & \text{label}_j & \text{label}_k \\ \hline c_1 & -1 & 2 & 1 & 3 \\ & & 1-t & t & -1 \end{array} \right)$$

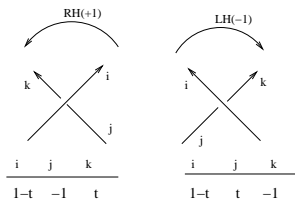
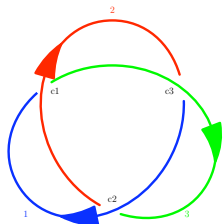
$$P(L) = \begin{pmatrix} 2 & 1 & 3 \\ 1-t & t & -1 \end{pmatrix}$$



# Computing the Alexander polynomial of the link

$$M(L) = \left( \begin{array}{c|cccc} & type & label_i & label_j & label_k \\ \hline c_1 & -1 & 2 & 1 & 3 \\ & & 1-t & t & -1 \end{array} \right)$$

$$P(L) = \begin{pmatrix} 1 & 2 & 3 \\ t & 1-t & -1 \end{pmatrix}$$



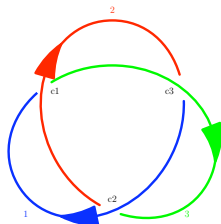
# Computing the Alexander polynomial of the link

For a link with  $K = 1$  knot:

$$P(L) = \begin{pmatrix} t & 1-t & -1 \\ 1-t & -1 & t \\ -1 & t & 1-t \end{pmatrix}$$

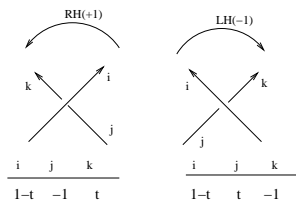
$$D := \det(\text{minor}(P(L))) = -t^2 + t - 1$$

$$\Delta(L) := \Delta(t) = \text{Normalise}(D) = t^2 - t + 1$$



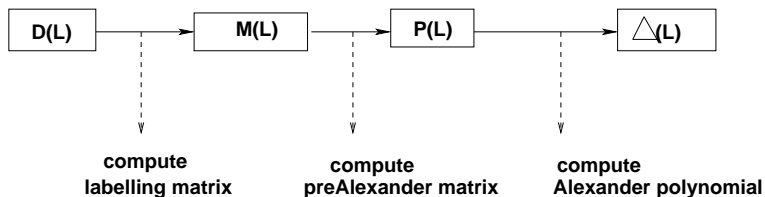
For a link with  $K > 1$  knots and  $n$  crossings  $\Delta(L)$  is the *gcd* of all the  $(n-1) \times (n-1)$  minor determinants of  $P(L)$ .

Note: The Alexander polynomial is  $\Delta(L)$ .



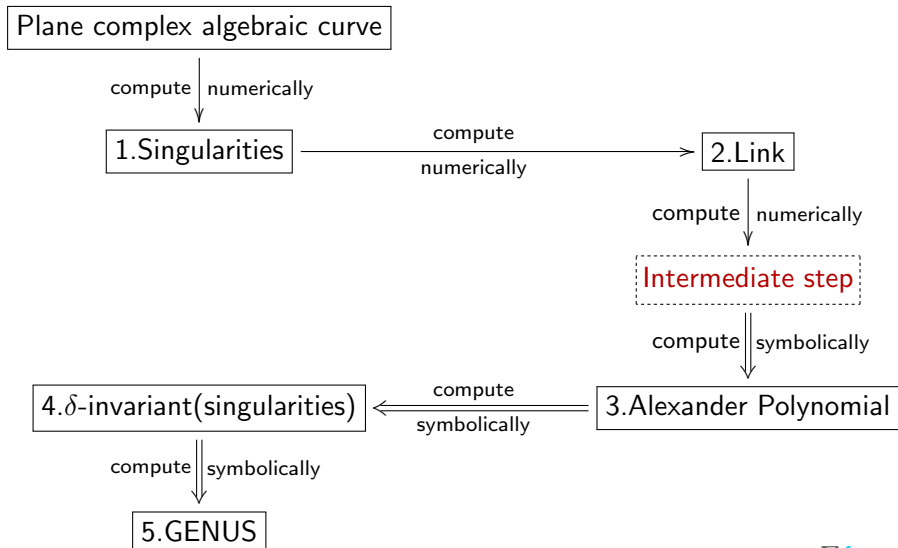
# Computing the Alexander polynomial of the link

So, the Alexander polynomial is computed in several steps:

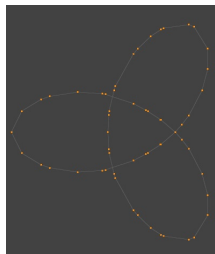


In order to compute it, we need  $D(L)$ !

# Next



# Intermediate step



•

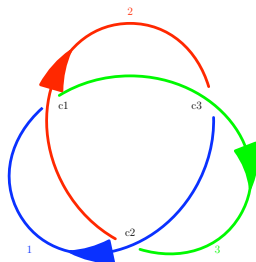
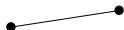
•  $G(L) = \langle P, E \rangle$

•

$p(\text{index}, x, y, z)$

•

$e(\text{indexS}, \text{indexD})$



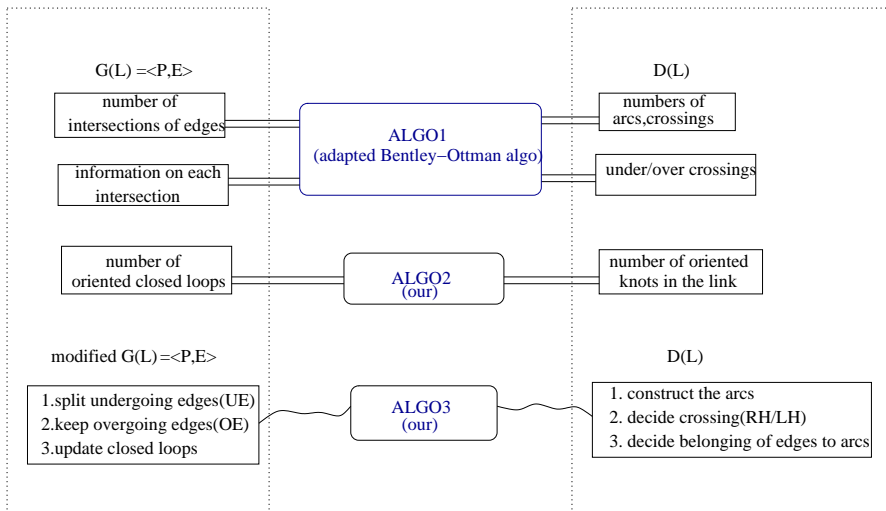
$D(L)$

→ number of arcs, crossings

→ type of crossings (under, over)

→ number of knots in the link(orientation)

# Intermediate step

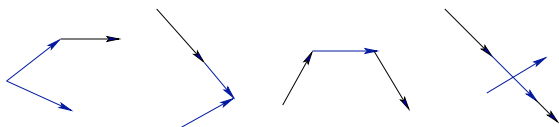




# Algorithm 1 - Adapted version of Bentley-Ottman

- Input

- $S$  a set of "short" edges ordered from left to right:

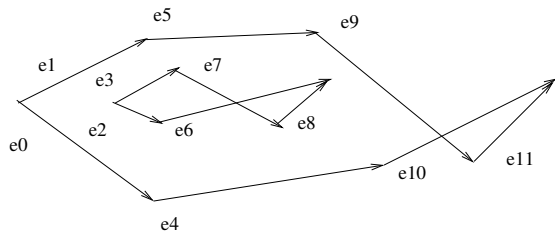


- Output

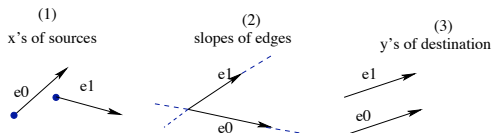
- $I$  - the set of all intersections among edges of  $S$  and
- for each  $p \in I$ , the "arranged" pair of edges  $(e_i, e_j)$  such that  $p = e_i \cap e_j$ .

**Note:**  $(e_i, e_j)$  is an "arranged" pair of edges if and only if for  $p = e_i \cap e_j$ ,  $e_i$  is below  $e_j$  in  $\mathbb{R}^3$ .

# Algorithm 1 - Adapted version of Bentley-Ottman

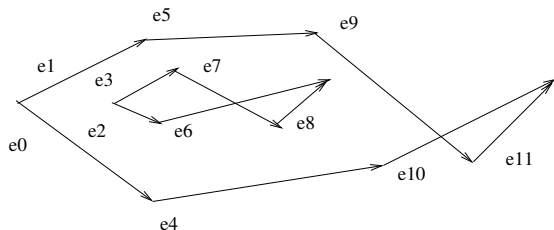


- the edges are ordered by criteria (1),(2),(3):



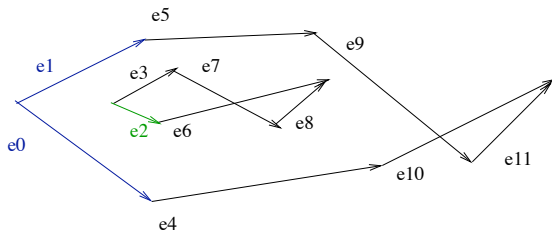
- the ordering criteria is necessary for the algorithm!

# Algorithm 1 - Adapted version of Bentley-Ottman



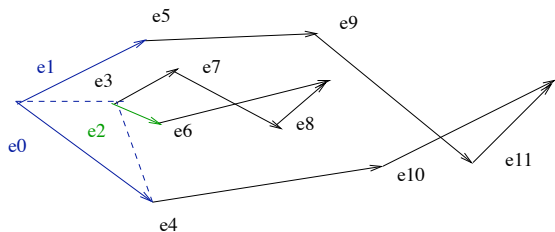
- we consider  $l$  a sweep line and keep track of two lists:  
 $E = \{e_0, e_1, \dots, e_{11}\}$  the list of ordered edges  
 $Sw = \{?\}$  the list of event points
- while traversing  $E$  we insert the edges in  $Sw$  in the "right" position:
  - for each  $e_i \in E$ , we look for an edge  $e_j \in Sw$  s.t.  $\text{source}(e_i) = \text{destination}(e_j)$
  - if such an  $e_j$  is not found  $e_i$  is inserted in  $Sw$  depending on its position against the existing edges in  $Sw$
- That is...

# Algorithm 1 - Adapted version of Bentley-Ottman



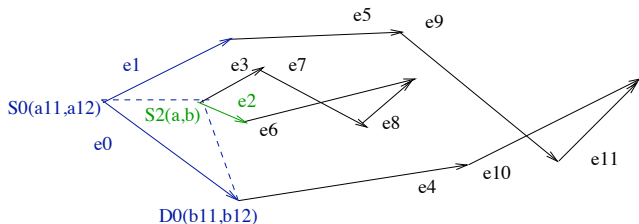
- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_1\}$

# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_1\}$

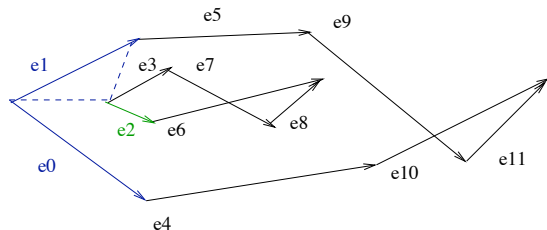
# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_1\}$ ; compute:

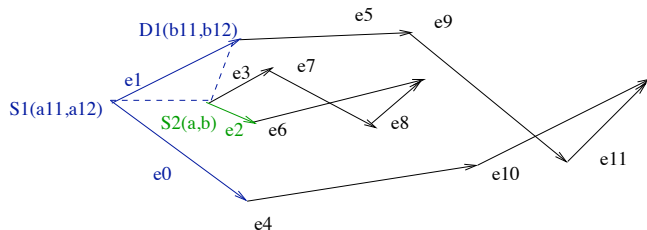
$$\det(e_2, e_0) = \begin{pmatrix} a_{11} & a_{12} & 1 \\ b_{11} & b_{12} & 1 \\ a & b & 1 \end{pmatrix} > 0 \Rightarrow e_2 \text{ after } e_0 \text{ in } Sw$$

# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_1\}$

# Algorithm 1 - Adapted version of Bentley-Ottman

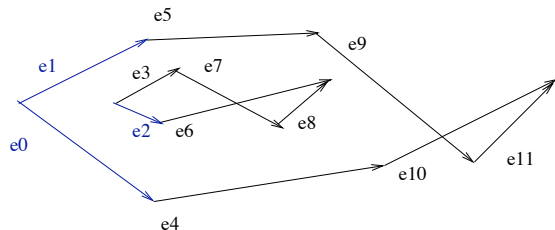


- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_1\}$ ; compute:

$$\det(e_2, e_1) = \begin{pmatrix} a_{11} & a_{12} & 1 \\ b_{11} & b_{12} & 1 \\ a & b & 1 \end{pmatrix} < 0 \Rightarrow e_2 \text{ before } e_1 \text{ in } Sw$$

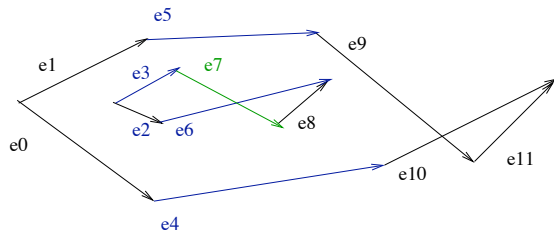


# Algorithm 1 - Adapted version of Bentley-Ottman



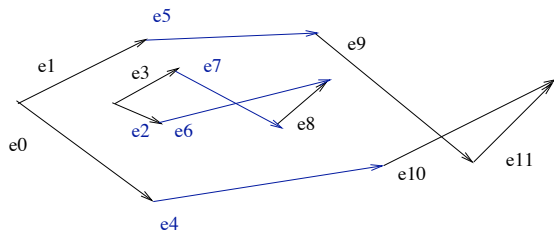
- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_0, e_2, e_1\}$
- Test  $e_0 \cap e_2$ ? No!  
Test  $e_2 \cap e_1$ ? No!
- $I = \emptyset$   
 $E_I = \emptyset$

# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_4, e_6, e_3, e_5\}$

# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$

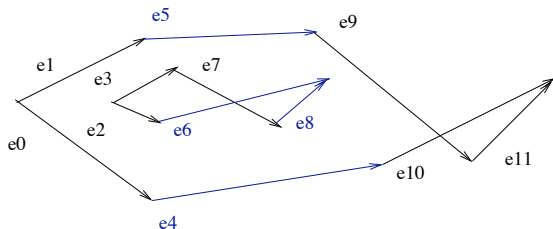
- $Sw = \{e_4, e_6, e_7, e_5\}$

- Test  $e_6 \cap e_7 = ?$  Yes!

Test  $e_7 \cap e_5 = ?$  No!  $\Rightarrow I = \{(x_1, y_1)\}$   $E_I = \{(e_6, e_7)\}$

$Sw = \{e_4, e_7, e_6, e_5\}$

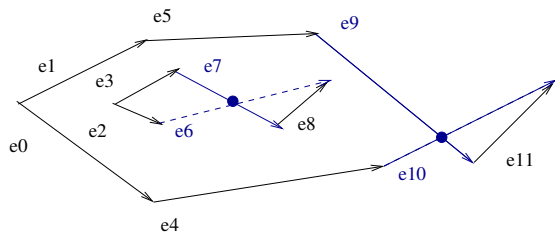
# Algorithm 1 - Adapted version of Bentley-Ottman



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- $Sw = \{e_4, e_8, e_6, e_5\}$
- Test  $e_4 \cap e_8 = ?$  No!  
Test  $e_8 \cap e_6 = ?$  No!
- Test  $dest(e_4) = dest(e_8)?$  No!  
Test  $dest(e_8) = dest(e_6)?$  Yes!  $\Rightarrow$   
 $Sw = \{e_4, e_5\}$

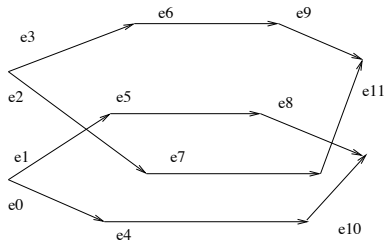
# Algorithm 1 - Adapted version of Bentley-Ottman

- Final output:



- $I = \{i_1 = (x_1, y_1), i_2 = (x_2, y_2)\}$   
 $E_I = \{(e_6, e_7), (e_{10}, e_9)\}$  with
  - $e_6$  below  $e_7$  in  $\mathbb{R}^3$  and
  - $e_{10}$  below  $e_9$  in  $\mathbb{R}^3$

## Algorithm 2 - Constructing the loops



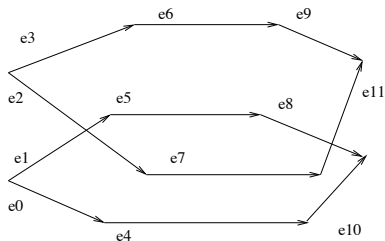
- **Input**

- $E$  a set of ordered edges by criteria (1),(2),(3)

- **Output**

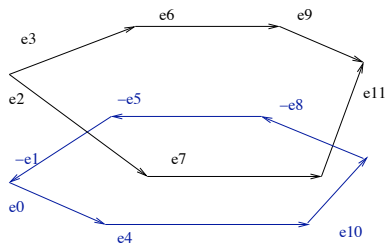
- all the loops  $L_{k \in \mathbb{N}} = \{e_{first}, \dots, e_{i-1}, e_i, e_{i+1}, \dots, e_{last}\}$  among  $E$  with :
  - for each  $e_i \in L$   $dest(e_i) = source(e_{i+1})$
  - $destination(e_{last}) = source(e_{first})$

## Algorithm 2 - Constructing the loops



- $E = \{e_0, e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}, e_{11}\}$
- Notation: if  $e_i = (\text{indexS}, \text{indexD})$  then  $-e_i = (\text{indexD}, \text{indexS})$
- We apply the following strategy:
  - for each  $e_i \in L_k$  we look an edge in  $E$  with the same index as  $\text{dest}(e_i)$
  - if  $e_j \in E : \text{source}(e_j) = \text{dest}(e_i) \Rightarrow L_k = L_k \cup \{e_j\}, E = E \setminus \{e_j\}$
  - if  $e_j \in E : \text{dest}(e_j) = \text{dest}(e_i) \Rightarrow L_k = L_k \cup \{-e_j\}, E = E \setminus \{-e_j\}$

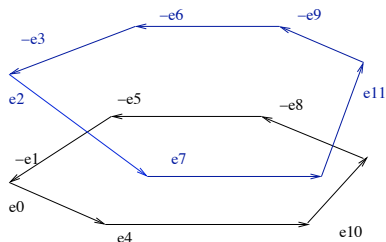
## Algorithm 2 - Constructing the loops



- We apply the described strategy for constructing the first loop:
- $E = \{\cancel{e_0}, \cancel{e_1}, e_2, e_3, \cancel{e_4}, \cancel{e_5}, e_6, e_7, \cancel{e_8}, e_9, \cancel{e_{10}}, e_{11}\}$
- $L_0 = \{e_0, e_4, e_{10}, -e_8, -e_5, -e_1\}$



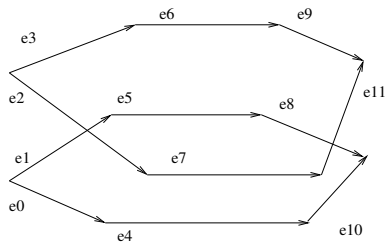
## Algorithm 2 - Constructing the loops



- We apply the same strategy for constructing the next loops until  $E = \emptyset$ :
- $E = \{\cancel{e_2}, \cancel{e_3}, \cancel{e_6}, \cancel{e_7}, \cancel{e_9}, \cancel{e_{11}}\}$
- $L_1 = \{e_2, e_7, e_{11}, -e_9, -e_6, -e_3\}$
- After this step  $E = \emptyset$  so the algorithm terminates.

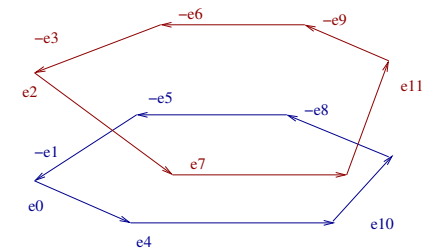
## Algorithm 2 - Constructing the loops

- Final output:



- $E$  ordered by (1),(2),(3)

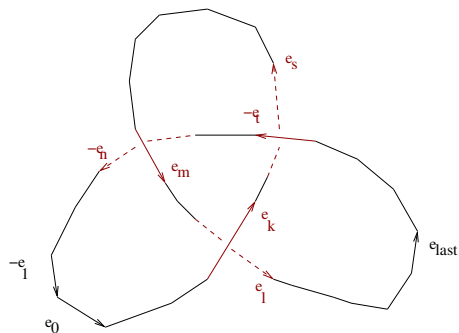
$\Rightarrow$



$$L_0 = \{e_0, e_4, e_{10}, -e_8, -e_5, -e_1\}$$

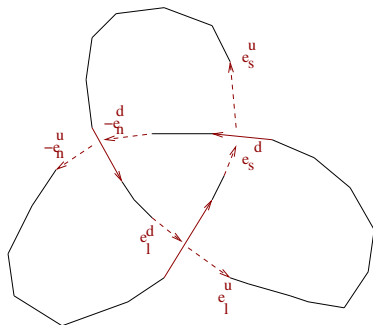
$$L_1 = \{e_2, e_7, e_{11}, -e_9, -e_6, -e_3\}$$

## Algorithm 3 - Constructing the arcs



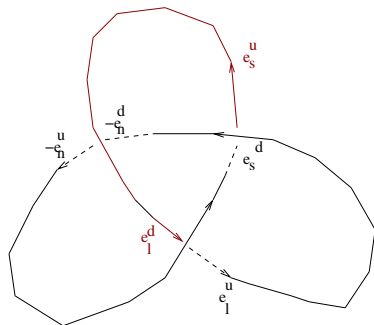
- $E = \{e_0, \dots, e_n, e_m, \dots, e_l, e_k, \dots, e_t, e_s, \dots, e_{last}\}$   
 $I = \{(x_1, y_1), (x_2, y_2), (x_3, y_3)\}$   
 $E_I = \{(-e_n, e_m), (e_l, e_k), (e_s, -e_t)\}$
- $L_0 = \{e_0, \dots, e_k, \dots, e_s, \dots, e_m, \dots, e_l, \dots, -e_t, \dots, -e_n, \dots, -e_1\}$

## Algorithm 3 - Constructing the arcs



- We modify the loops depending on each undergoing edge from  $E_I = \{(-e_n, e_m), (e_l, e_k), (e_s, -e_t)\}$
- That is we split all the undergoing edges in two parts.
- $L_0 = \{e_0, \dots, e_k, \dots, e_s, \dots, e_m, \dots, e_l, \dots, -e_t, \dots, -e_n, \dots, -e_1\}$  becomes  $L_0 = \{e_0, \dots, e_k, \dots, e_s^d, e_s^u, \dots, e_m, \dots, e_l^d, e_l^u, \dots, -e_t, \dots, -e_n^d, -e_n^u, \dots, -e_1\}$

## Algorithm 3 - Constructing the arcs



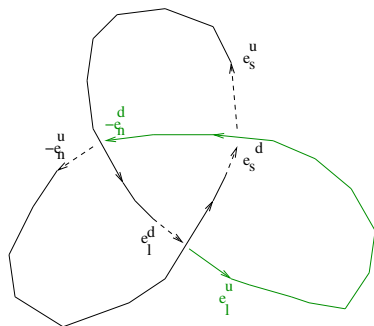
- An arc contains the edges between an edge of type  $e_i^u$  and the next consecutive edge of type  $e_j^d$
- From the modified loop we compute the arcs until  $L_0 = \emptyset$ :

$$L_0 = \{e_0, \dots, e_k, \dots, e_s^d, e_s^u, \dots, e_m, \dots, e_l^d, e_l^u, \dots, -e_t, \dots, -e_n^d, -e_n^u, \dots, -e_1\}$$

$$L_0 = \{e_0, \dots, e_k, \dots, e_s^d, \cancel{[e_s^u, \dots, e_m, \dots, e_l^d]}, e_l^u, \dots, -e_t, \dots, -e_n^d, -e_n^u, \dots, -e_1\}$$

$$\text{arc}_0 = \{e_s^u, \dots, e_m, \dots, e_l^d\}$$

## Algorithm 3 - Constructing the arcs



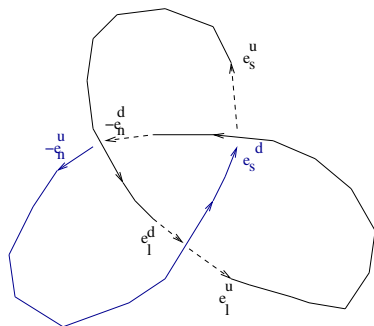
- From the modified loop we compute the arcs until  $L_0 = \emptyset$ :

$$L_0 = \{e_0, \dots, e_k, \dots, e_s^d, e_l^u, \dots, -e_t, \dots, -e_n^d, -e_n^u, \dots, -e_1\}$$

$$L_0 = \{e_0, \dots, e_k, \dots, e_s^d, \cancel{[e_l^u, \dots, -e_t, \dots, -e_n^d]}, -e_n^u, \dots, -e_1\}$$

$$\text{arc}_1 = \{e_l^u, \dots, -e_t, \dots, -e_n^d\}$$

## Algorithm 3 - Constructing the arcs



- From the modified loop we compute the arcs until  $L_0 = \emptyset$ :

$$L_0 = \{e_0, \dots, e_k, \dots, e_s^d, -e_n^u, \dots, -e_1\}$$

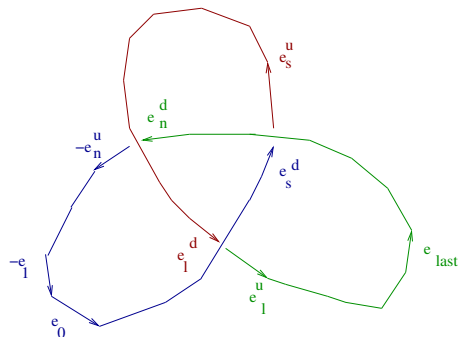
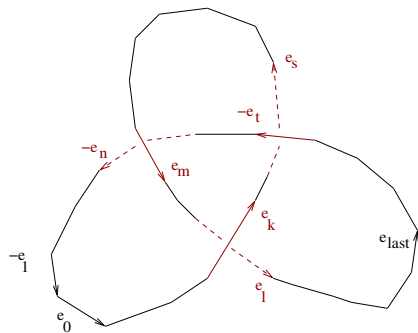
$$L_0 = \{\cancel{[e_0, \dots, e_k, \dots, e_s^d]}, \cancel{[-e_n^u, \dots, -e_1]}\}$$

$$\text{arc}_2 = \{e_n^u, \dots, -e_1, e_0, \dots, e_k, \dots, e_s^d\}$$

- After this step  $L_0 = \emptyset$  so the algorithm terminates.

# Algorithm 3 - Constructing the arcs

- Final output:

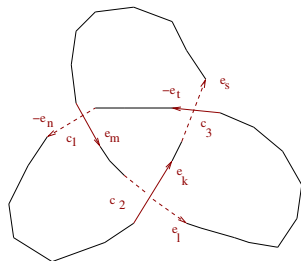


- $E = \{e_0, \dots, e_{last}\}$
- $E_I = \{(-e_n, e_m), (e_l, e_k), (e_s, -e_t)\}$
- $L_0 = \{e_0, \dots, e_s, e_l, \dots, -e_1\}$

$$\Rightarrow \begin{aligned} a_0 &= \{e_s^u, \dots, e_m, \dots, e_l^d\} \\ a_1 &= \{e_l^u, \dots, -e_t, \dots, -e_n^d\} \\ a_2 &= \{e_n^u, \dots, -e_1, e_0, \dots, e_k, \dots, e_s^d\} \end{aligned}$$



## Algorithm 3 - Deciding the type of crossing



RH

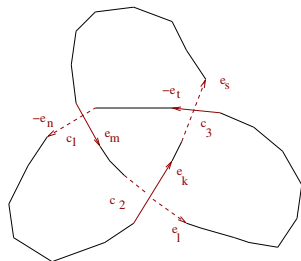


LH



- If  $e = (\text{source}, \text{dest}) \in E$  then  $x.\text{source} < x.\text{dest}$   
If  $-e = (\text{source}, \text{dest}) \in E$  then  $x.\text{source} > x.\text{dest}$
- For any  $(e_{\text{Under}}, e_{\text{Over}}) \in E_I$  each crossing depends on:
  - the orientation of  $e_{\text{Under}}$ ,  $e_{\text{Over}}$
  - the relation between the slope of  $e_{\text{Under}}$  and the slope of  $e_{\text{Over}}$
  - there are  $2^3$  possible cases for deciding the type of crossings.

## Algorithm 3 - Deciding the type of crossing



RH

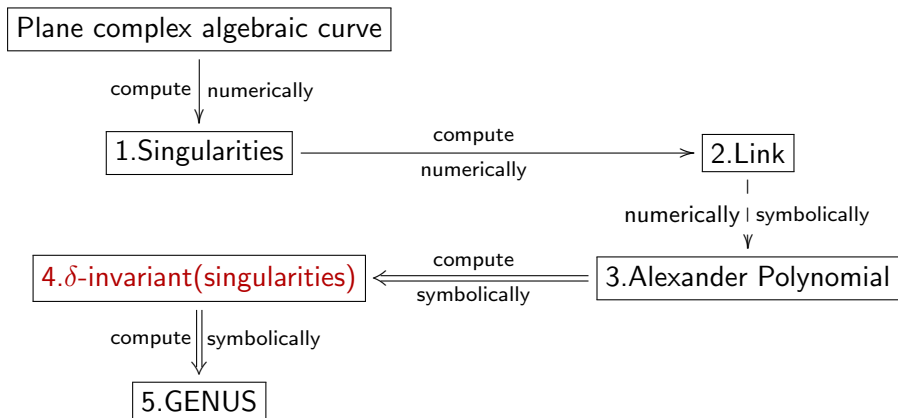


LH



- For instance:
- $c_1 = (-e_n, e_m)$  is LH since:
  - $x.\text{source}(-e_n) > x.\text{dest}(-e_n)$ ,
  - $x.\text{source}(e_m) < x.\text{dest}(e_m)$ ,
  - $\text{slope}(e_m) < \text{slope}(-e_n)$
- $c_2 = (e_l, e_k)$  is LH.
- $c_3 = (e_s, -e_l)$  is LH.

# Next



# Computing the $\delta$ -invariant of the singularity

From the Alexander polynomial, we derive the formulae for the  $\delta$ -invariant:  
(based on Milnor's research)

$C \subset \mathbb{C}^2$  complex curve,  $z \in \text{Sing}(C)$



$\Delta(t_1, \dots, t_p) : r$  – number of variables,  $\mu$  – degree

$r \geq 2$

$$\delta_z = \frac{1}{2}(\mu + r)$$

$r = 1$

$$\delta_z = \frac{1}{2}\mu$$

# Summary

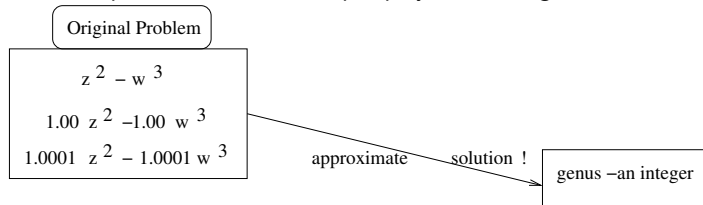
Using our library **QComplexInvariants** in Axel we get the results:

Equation	Link	Alex poly, $\delta$ -invariant, genus
$z^2 - w^2, \epsilon = 1.0$	Hopf link	$\Delta(t_1) = 1, \delta = 1, g = -1$
$z^2 - w^3, \epsilon = 1.0$	Trefoil knot	$\Delta(t_1) = t_1^2 - t_1 + 1, \delta = 1, g = 0$
$z^2 - w^4, \epsilon = 1.0$	2-knots link	$\Delta(t_1, t_2) = t_1 t_2 + 1, \delta = 2, g = -1$
$z^2 - w^5, \epsilon = 1.0$	1-knot link	$\Delta(t_1) = t_1^4 - t_1^3 + t_1^2 - t_1 + 1, \delta = 2, g = 0$
$(z - 2)^3 - (w - 1)^3, \epsilon = 1.0$	3-knots link	$\Delta(t_1, t_2, t_3) = -t_1 t_2 t_3 + 1, \delta = 3, g = -2$
$z^4 + z^2 w + w^5, \epsilon = 0.25$	3-knots link	$\Delta(t_1, t_2, t_3) = -t_1^2 t_2^2 t_3 + 1, \delta = 4, g = 2$

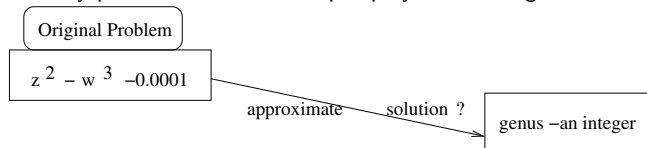
# Summary

## Interpreting the numeric tests for the original problem

- For small perturbations of the input polynomial we get:



- For tiny perturbations of the input polynomial we get:



# Summary

## How to improve the representation to our problem?

### Original genus computation problem

- **Input:**

- $\mathbb{C}$  field of complex numbers;
- $F \in \mathbb{C}[z, w]$  irreducible with coefficients of limited accuracy
- $C = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x + iy, u + iv) = 0\}$  complex curve;

- **Output:**

- **approximate**

$$\text{genus}(C) = \frac{1}{2}(d-1)(d-2) - \sum_{P \in \text{Sing}(C)} \delta\text{-invariant}(P), \text{ where}$$

$\text{Sing}(C)$  is the set of singularities,  $d$  is the degree of  $C$ .

- Our original genus computation problem is ill-posed since it is infinitely sensitive to perturbation.

# Summary

## How to improve the representation to our problem?

We reformulate our problem using **Zeng's** 3 strikes principles:

- the approximate solution is the exact solution of a nearby problem
- the approximate solution is the exact solution of a problem on the nearby pejorative manifold of the highest codimension
- the approximate solution is the exact solution of the nearest problem on the nearby pejorative manifold of the highest codimension

The principle is based on **W. Kahan's** discovery:

- Problems with certain solution structure form a pejorative manifold. The solution is lost when the problem leaves the manifold, but it is preserved when the problem stays on the manifold.



# Summary

## How to improve the representation to our problem?

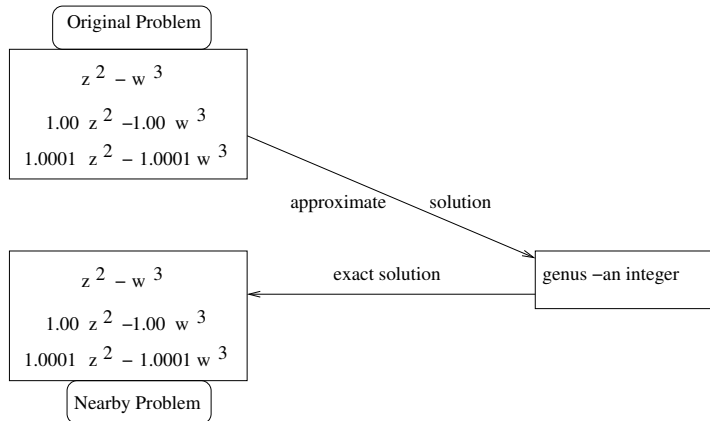
### Reformulated genus computation problem

- **Input:**
  - $\mathbb{C}$  field of complex numbers;
  - $F \in \mathbb{C}[z, w]$  irreducible with coefficients of limited accuracy
  - $C = \{(x, y, u, v) \in \mathbb{R}^4 \mid F(x + iy, u + iv) = 0\}$  complex curve;
- **Output:**
  - the approximate genus and the nearest polynomial on a proper peyorative manifold s.t. the computed approximate genus is the exact solution of the computed nearest polynomial.
- Our symbolic-numerical algorithm solves a "nearby" problem.

# Summary

## Interpreting the numeric tests for the reformulated problem

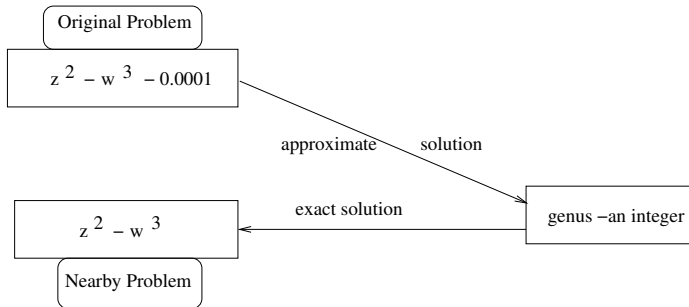
- For small perturbations of the input polynomial we get:



# Summary

## Interpreting the numeric tests for the reformulated problem

- For tiny perturbations of the input polynomial we get:



- ① Motivation
- ② Describing the problem  
What?
- ③ Solving the problem  
How?
- ④ Current results
- ⑤ Conclusion and future work

# Conclusion

## Present work:

- all the steps of the algorithm are now completely automatized;
- together with its main functionality to compute the genus,
- the symbolic-numeric algorithm provides also tools for computation:
  - in knot theory (i.e. diagram of links, Alexander polynomial);
  - in algebraic geometry (i.e. delta invariant, singularities of plane complex algebraic curve);

# Conclusion

## Future work:

- Analyze the algorithm for numeric input:
  - How to control the error in numerical computation?
  - How to improve the representation to our problem?
- Need to make investigations at the frontier between symbolic and numeric computation.



Thank you for your attention.  
Questions?