

Symbolic-Numeric Algorithms for Invariants of Plane Curve Singularities

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- Algorithms for invariants of plane curve singularities
- Implementation of the algorithms
- The algorithms and "approximate algebraic computation"
- Extension of the algorithms
- Test experiments

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Motivation

We investigate the topology (i.e. roughly speaking the shape) of plane complex algebraic curves. These curves can be identified with objects in \mathbb{R}^4 we cannot visualize! We sketch the equivalent objects in \mathbb{R}^2 for a rough "idea"!



Motivation

For instance,

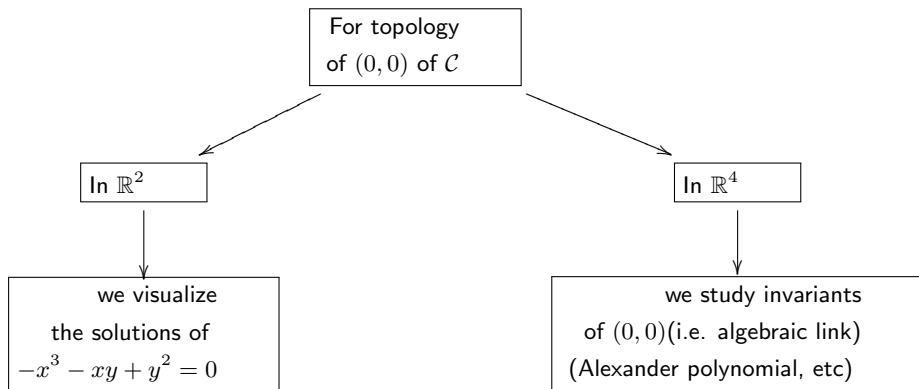
We visualize the topology of the algebraic curve $\mathcal{C} = \{(x, y) \mid -x^3 - xy + y^2 = 0\}$ in \mathbb{R}^2 !

We notice an "involved" topology around the point $(0, 0)$, which is called singularity!



Motivation

Roughly, if we consider $C = \{(x, y) \mid -x^3 - xy + y^2 = 0\}$ then



Motivation

Our goal is to compute and

Roughly, if we consider $\mathcal{C} = \{(x, y) \mid -x^3 - xy + y^2 = 0\}$ then

For topology
of $(0, 0)$ of \mathcal{C}

In \mathbb{R}^2

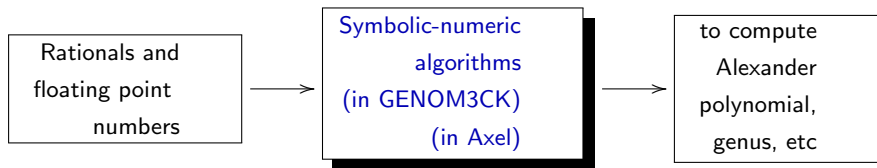
In \mathbb{R}^4

we visualize
the solutions of
 $-x^3 - xy + y^2 = 0$

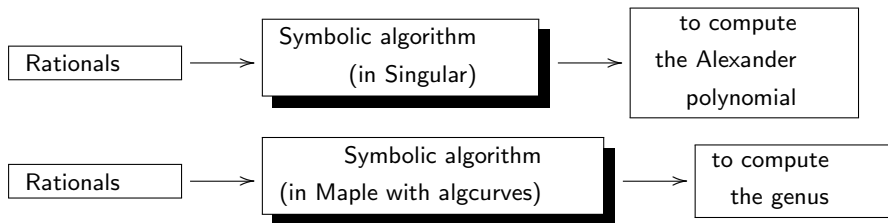
to study invariants
of $(0, 0)$ of \mathcal{C} (algebraic link)
(Alexander polynomial, etc)
and invariants of \mathcal{C}
(genus, etc)

Motivation

Our goal is also to design for:



Because at present (from our knowledge) there exists only for:



Other numerical algorithms for genus: C. Wampler's group (Bertini system), R. Sendra's group.

Motivation

For instance:

> with(algcurves);
[AbelMap, Siegel, Weierstrassform, algfun_series_sol, differentials, genus,
homogeneous, homology, implicitize, integral_basis, is_hyperelliptic,
j_invariant, monodromy, parametrization, periodmatrix, plot_knot,
plot_real_curve, puseux, singularities]

> $f := x^2 y + y^4$

$$f := x^2 y + y^4$$

> genus(f, x, y)

-1

> $g := 1.02 \cdot x^2 y + 1.12 \cdot y^4$

$$g := 1.02 x^2 y + 1.12 y^4$$

> genus(g, x, y)

Error, (in content/polynom) general case of floats not handled

>

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Problem specifications

- Input:

- ▶ $f(x, y) \in \mathbb{C}[x, y]$ squarefree with exact and inexact coefficients;
- ▶ $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid f(x, y) = 0\} \subseteq \mathbb{C}^2 \simeq \mathbb{R}^4$ of degree m ;
- ▶ $\epsilon \in \mathbb{R}_+^*$ input parameter.

- Output:

- ▶ The set of numerical singularities of \mathcal{C} ;
IF $\mathcal{C} \cap S_\epsilon$ (with S_ϵ the sphere of radius epsilon centered in the singularity $(0, 0)$ of \mathcal{C}) has no singularities, THEN :
 - ▶ A set of invariants for each numerical singularity:
 - ★ ϵ -algebraic link;
 - ★ ϵ -Alexander polynomial;
 - ★ ϵ -Milnor number, ϵ -delta-invariant;
 - ▶ A set of invariants from knot theory for each ϵ -algebraic link:
 - ★ ϵ -diagram, ϵ -crossings, ϵ -arcs, ϵ -genus, ϵ -determinant;
 - ★ ϵ -unknotting number, ϵ -linking number, ϵ -colorability.
 - ▶ A set of invariants for \mathcal{C} :
 - ★ ϵ -genus, ϵ -Euler characteristic.
- ELSE "false", i.e. $\mathcal{C} \cap S_\epsilon$ has singularities.

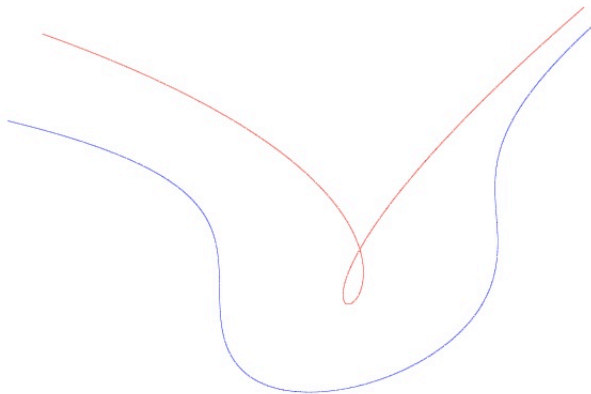
Ill-posedness of the problem

The problem is ill-posed! Small changes in input produce huge changes in the output!

Example. Let $s_1 = (0,0)$ of $\mathcal{C} = \{(x, y) \in \mathbb{R}^2 \mid -x^3 - xy + y^2 = 0\}$ and

$s_2 = (0,0)$ of $\mathcal{D} = \{(x, y) \in \mathbb{R}^2 \mid -x^3 - xy + y^2 - 0.01 = 0\}$!

The topology of $(0,0)$ is not stable under small changes in input!



The same situation happens in \mathbb{R}^4 , but we cannot visualize it!

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- **Techniques for dealing with the ill-posedness**

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Techniques for dealing with the ill-posedness

How to deal with the **ill-posedness** of a **problem**?

- We construct numerical methods that approximate solutions to ill-posed problems, that are stable under small changes of the input! (i.e. regularization method)
- Similar methods are subjects of *approximate algebraic computation* in order to compute: greatest common divisor of polynomials, root of polynomials, etc.



Techniques for dealing with the ill-posedness

How to deal with the **ill-posedness** of **our problem**?

- *Example.* For $s_1 = (0, 0)$ of $\mathcal{C} = \{(x, y) \in \mathbb{R}^4 \mid -x^3 - xy + y^2 = 0\}$ and $s_2 = (0, 0)$ of $\mathcal{D} = \{(x, y) \in \mathbb{R}^4 \mid -x^3 - xy + y^2 - 0.01 = 0\}$, we compute their ϵ -algebraic links denoted $L_\epsilon(s_1), L_\epsilon(s_2)$.

Note 1: For sufficiently small ϵ , L_ϵ are stable under small changes in the input and they characterize the topology of s_1, s_2 .

Note 2: From L_ϵ we compute the ϵ -Alexander polynomial. This polynomial is a complete invariant for L_ϵ ! (Yamamoto's result)

- ▶ If the ϵ -Alexander polynomials of $L_\epsilon(s_1), L_\epsilon(s_2)$ are equal, then s_1, s_2 have the same topology, else they have different topology!

Note 3: From L_ϵ , ϵ -Alexander polynomial we compute other invariants.

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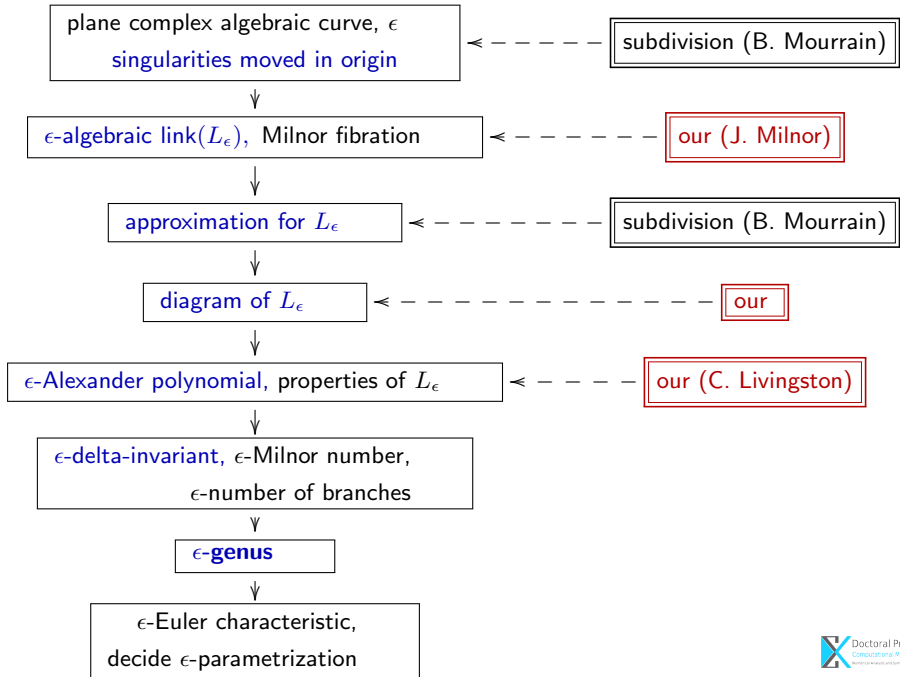
- Algorithms for invariants of plane curve singularities
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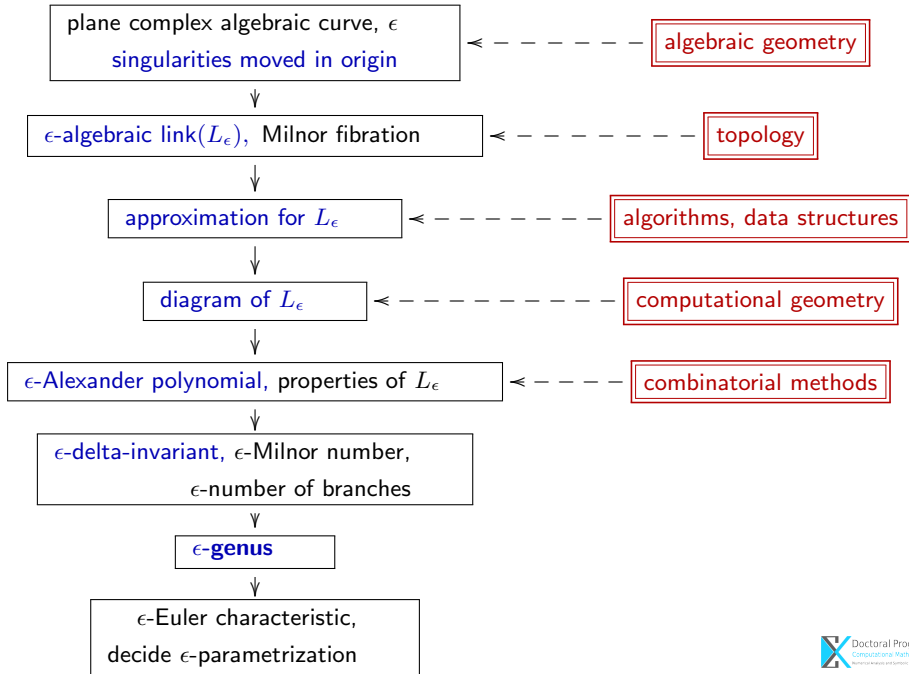
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Strategy for solving the problem

We split our problem into smaller interdependent subproblems!







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Algorithms for invariants of plane curve singularities

Plane complex algebraic curve, ϵ

compute numerically

Singularities moved in origin

compute symbolically-numerically

ϵ -Algebraic link denoted L_ϵ ,
approximation of L_ϵ , diagram of L_ϵ

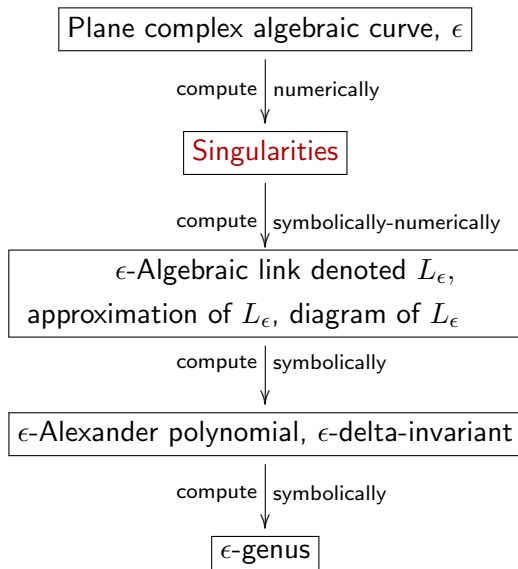
compute symbolically

ϵ -Alexander polynomial, ϵ -delta-invariant

compute symbolically

ϵ -genus

First



Algorithm for the singularities of the curve

- **Input:**

- ▶ $f(x, y) \in \mathbb{C}[x, y]$ squarefree with exact and inexact coefficients
- ▶ $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid f(x, y) = 0\}$ complex algebraic curve of degree m .

- **Output:**

- ▶ $Sing(\mathcal{C}) = \{(x_0, y_0) \in \mathbb{C}^2 \mid f(x_0, y_0) = 0, \frac{\partial f}{\partial x}(x_0, y_0) = 0, \frac{\partial f}{\partial y}(x_0, y_0) = 0\}$

- **Method:** We solve the system in \mathbb{C}^2 : $f(x_0, y_0) = \frac{\partial f}{\partial x}(x_0, y_0) = \frac{\partial f}{\partial y}(x_0, y_0) = 0$.

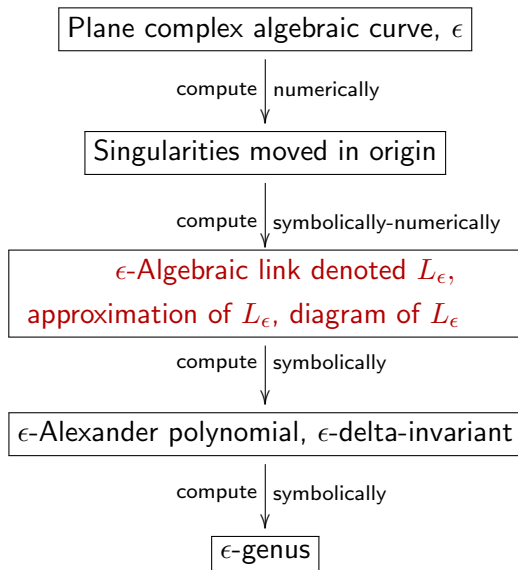
We use subdivision methods from Axel.

We get the numerical singularities, i.e. a list of points P in the plane s.t.:

- ▶ the value of $f(x, y)$ and its derivatives in the points from P are small;
- ▶ every singularity from $Sing(\mathcal{C})$ is in the neighborhood of one point from P .

QUESTION: Other method (with implementation) available!?

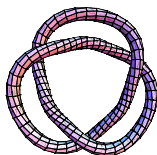
Next



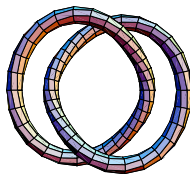
Algorithm for the ϵ -algebraic link

- A **knot** is a piecewise linear or a differentiable simple closed curve in \mathbb{R}^3 .
- A **link** is a finite union of disjoint knots.
- Links resulted from the intersection of a given curve with the sphere are called **algebraic links**.

Trefoil Knot

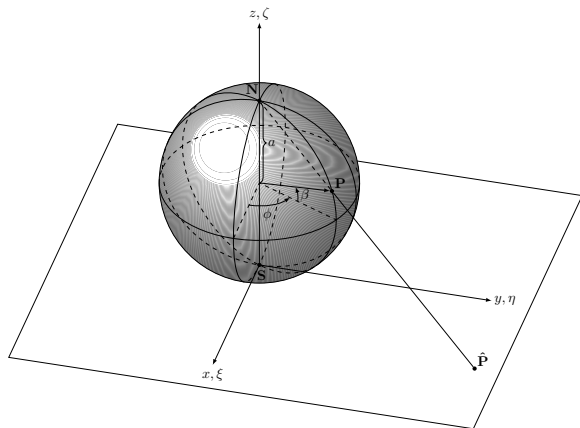


Hopf Link



Algorithm for the ϵ -algebraic link

- How do we compute the link of a plane curve singularity?
 - ▶ use the stereographic projection;



Algorithm for the ϵ -algebraic link

1. Let $\mathcal{C} = \{(a, b, c, d) \in \mathbb{R}^4 \mid f(a, b, c, d) = 0\}$ with $(0, 0, 0, 0) \in \text{Sing}(\mathcal{C})$.
2. For $f(a, b, c, d) = R(a, b, c, d) + iI(a, b, c, d)$ with $R(a, b, c, d), I(a, b, c, d) \in \mathbb{R}[a, b, c, d]$, rewrite $\mathcal{C} = \{(a, b, c, d) \in \mathbb{R}^4 \mid R(a, b, c, d) = I(a, b, c, d) = 0\}$.
3. Intersect \mathcal{C} with a sphere $S_\epsilon = \{(a, b, c, d) \in \mathbb{R}^4 \mid a^2 + b^2 + c^2 + d^2 = \epsilon^2\}$ and obtain $X = \mathcal{C} \cap S_\epsilon \subset \mathbb{R}^4$.
4. For $N \in S_\epsilon \setminus \mathcal{C}$, consider the stereographic projection $\pi : S_\epsilon \setminus \{N\} \rightarrow \mathbb{R}^3, (a, b, c, d) \mapsto (u = \frac{a}{\epsilon-d}, v = \frac{b}{\epsilon-d}, w = \frac{c}{\epsilon-d})$, and compute $\pi^{-1} : \mathbb{R}^3 \rightarrow S_\epsilon \setminus \{N\}, \pi^{-1}(u, v, w) \mapsto (a = \dots, b = \dots, c = \dots, d = \dots)$.

Algorithm for the ϵ -algebraic link

4. For $\mathcal{C} = \{(a, b, c, d) \in \mathbb{R}^4 \mid R(a, b, c, d) = I(a, b, c, d) = 0\}$, project $X = \mathcal{C} \cap S_\epsilon$ from \mathbb{R}^4 to \mathbb{R}^3 with the stereographic projection π and compute
- $$\pi(X) = \{(u, v, w) \in \mathbb{R}^3 \mid \exists (a, b, c, d) = \pi^{-1}(u, v, w) \in X = \mathcal{C} \cap S_\epsilon\},$$
- $$\pi(X) = \{(u, v, w) \in \mathbb{R}^3 \mid R(a, b, c, d) = I(a, b, c, d) = 0\}.$$
5. Obtain $\pi(X) = \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) = h(u, v, w) = 0\}$ with $g, h \in \mathbb{R}[u, v, w]$.

Remark!

$\pi(X)$ is an implicit algebraic curve in \mathbb{R}^3 given as the intersection of two surfaces in \mathbb{R}^3 with the defining equations g, h .

For small ϵ , $\pi(X) := L_\epsilon$ is an algebraic link, (based on Milnor's result), i.e. $\mathcal{C} \cap S_\epsilon$ has no singularities.

Approximation of the ϵ -algebraic link

We use Axel for implementing the proposed algorithm.

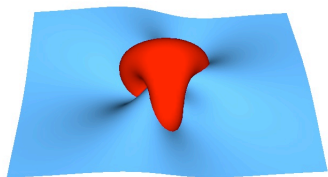
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid x^3 - y^2 = 0\} \subset \mathbb{R}^4$, $\epsilon = 1$
we compute with the algorithm in Axel:



Approximation of the ϵ -algebraic link

We use Axel for implementing the proposed algorithm.

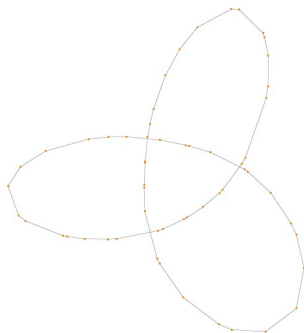
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid x^3 - y^2 = 0\} \subset \mathbb{R}^4$, $\epsilon = 1$
we compute with the algorithm in Axel:
- $\pi(\mathcal{C} \cap S) = \pi(X) := L_\epsilon =$
 $= \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) = 0, h(u, v, w) = 0\}$



Approximation of the ϵ -algebraic link

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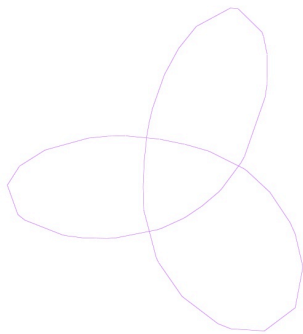
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 | x^3 - y^2 = 0\} \subset \mathbb{R}^4$, $\epsilon = 1$
we compute with the algorithm in Axel:
- $\pi(\mathcal{C} \cap S) = \pi(X) := L_\epsilon =$
 $= \{(u, v, w) \in \mathbb{R}^3 | g(u, v, w) = 0, h(u, v, w) = 0\}$
- $\text{Graph}(L_\epsilon) = \langle \mathcal{V}, \mathcal{E} \rangle$ with
 $\mathcal{V} = \{p = (m, n, q) \in \mathbb{R}^3\}$
 $\mathcal{E} = \{(i, j) | i, j \in \mathcal{V}\}$



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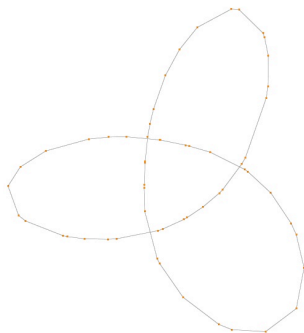
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- s.t. $\text{Graph}(L_\epsilon) \cong_{\text{isotopic}} L_\epsilon$



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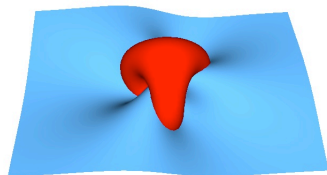
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 | x^3 - y^2 = 0\} \subset \mathbb{R}^4$, $\epsilon = 1$
we compute with the algorithm in Axel:
- $\pi(\mathcal{C} \cap S) = \pi(X) := L_\epsilon =$
 $= \{(u, v, w) \in \mathbb{R}^3 | g(u, v, w) = 0, h(u, v, w) = 0\}$
- $Graph(L_\epsilon) = \langle \mathcal{V}, \mathcal{E} \rangle$ with
 $\mathcal{V} = \{p = (m, n, q) \in \mathbb{R}^3\}$
 $\mathcal{E} = \{(i, j) | i, j \in \mathcal{V}\}$
- s.t. $Graph(L_\epsilon) \cong_{isotopic} L_\epsilon$
- $Graph(L_\epsilon)$ is a piecewise linear approximation of L_ϵ
- **Why Axel?** It is the only system to implement a method which returns such an approximation!
QUESTION: Other method (with implementation) available!?



Approximation of the ϵ -algebraic link

We use Axel for implementing the proposed algorithm.

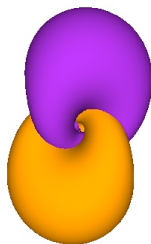
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid x^3 - y^2 = 0\} \subset \mathbb{R}^4, \epsilon = 1$
- and $L_\epsilon =$
 $= \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) = 0, h(u, v, w) = 0\}$



Approximation of the ϵ -algebraic link

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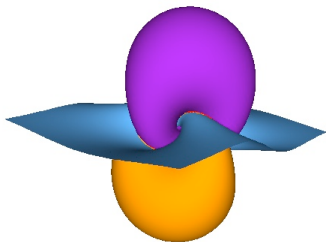
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid x^3 - y^2 = 0\} \subset \mathbb{R}^4, \epsilon = 1$
- and $L_\epsilon =$
 $= \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) = 0, h(u, v, w) = 0\}$
- we also compute (for visualization reasons)
 $\mathcal{S}' = \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) + h(u, v, w) = 0\}$
 $\mathcal{S}'' = \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) - h(u, v, w) = 0\}$



Approximation of the ϵ -algebraic link

We use Axel for implementing the proposed algorithm.

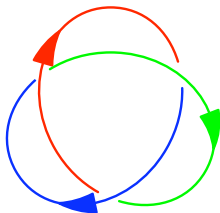
- For $\mathcal{C} = \{(x, y) \in \mathbb{C}^2 \mid x^3 - y^2 = 0\} \subset \mathbb{R}^4, \epsilon = 1$
- and $L_\epsilon =$
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- we also compute (for visualization reasons)
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 $\mathcal{S}'' = \{(u, v, w) \in \mathbb{R}^3 \mid g(u, v, w) - h(u, v, w) = 0\}$
- L_ϵ is the intersection of any 2 of the surfaces:
 $g(u, v, w), h(u, v, w)$
 $g(u, v, w) + h(u, v, w), g(u, v, w) - h(u, v, w)$



Algorithm for the diagram of the ϵ -algebraic link

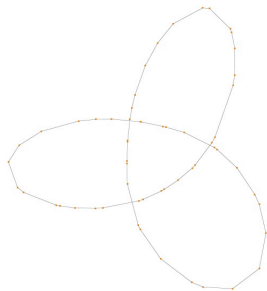
When we work with (algebraic) links, we work with a special projection of them (**diagram**), containing the information on each double point (**crossing**) telling which branch goes over and which under.

- An **arc** is the part of a diagram between two undercrossings.

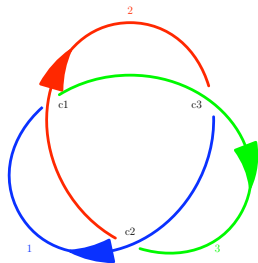


- Example. Diagram with 3 crossings and 3 arcs

Algorithm for the diagram of the ϵ -algebraic link

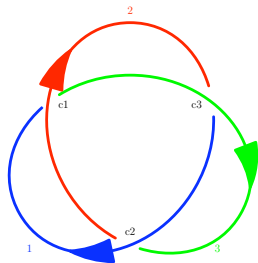
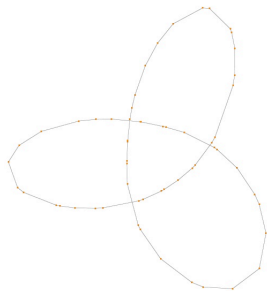


?
 \Rightarrow



-
- $G(L_\epsilon) = \langle P, E \rangle$
- We need to transform the graph data structure $G(L_\epsilon)$ returned by Axel into the diagram of the algebraic link $D(L_\epsilon)$.

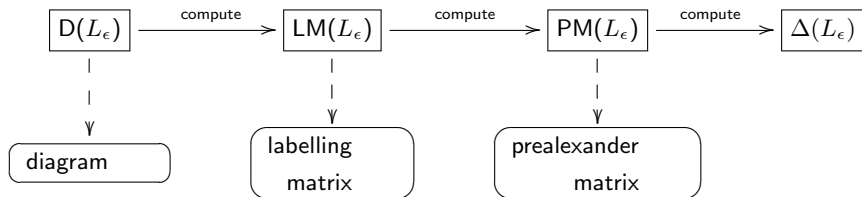
Algorithm for the diagram of the ϵ -algebraic link



-
- We developed several computational geometry and combinatorial algorithms!
 - M. Hodorog, J.Schicho. *Computational geometry and combinatorial algorithms for the genus computation problem*. DK 10-07 Report.
 - M. Hodorog, B. Mourrain, J.Schicho. *Topology analysis of complex curves singularities using knot theory*. International Conference on Curves and Surfaces, Avignon, 2010.

Why do we need the diagram of the ϵ -algebraic link?

We compute the ϵ -Alexander polynomial of L_ϵ denoted $\Delta(L_\epsilon)$ in 3 combinatorial steps:



In order to compute it, we need $D(L_\epsilon)$, the diagram of L_ϵ !

- M. Hodorog, B. Mourrain, J. Schicho. *A symbolic-numeric algorithm for computing the Alexander polynomial of a plane curve singularity*. International Symposium on Symbolic and Numeric Algorithms for Scientific Computing. Timișoara, Romania, 2010.

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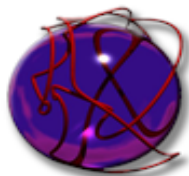
4 Results

- Algorithms for invariants of plane curve singularities
- **Implementation of the algorithms**
- The algorithms and "approximate algebraic computation"
- Extension of the algorithms
- Test experiments

5 Conclusion

Implementation

- *Axel* free algebraic geometric modeler
(INRIA Sophia-Antipolis) ^a



<http://axel.inria.fr/>

^aAcknowledgements: Julien Wintz

Implementation

- Axel/ free algebraic geometric modeler (INRIA Sophia-Antipolis) ^a
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- M. Hodorog, B. Mourrain, J. Schicho. *GENOM3CK - A library for GENus cOMputation of plane Complex algebraiC Curves using Knot theory*. International Symposium on Symbolic and Algebraic Computation. München, Germany, 2010.



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- **Version 0.2 of GENOM3CK is released!**



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1 Motivation

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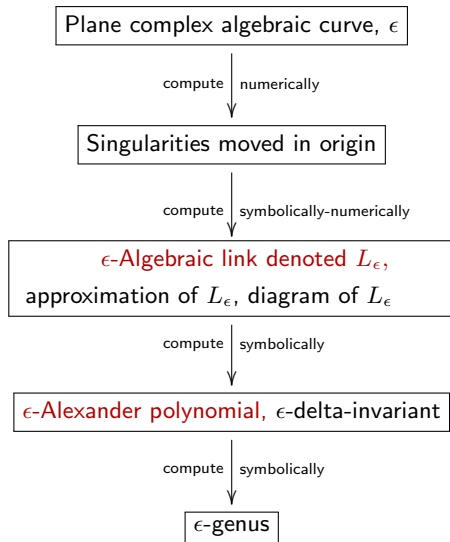
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The algorithms and "approximate algebraic computation"

We interpret the **algorithms** in the frame of approximate algebraic computation!



The algorithms and "approximate algebraic computation"

With the notations:

- $E : I \rightarrow O$ the symbolic algorithm s.t.
Given $f \in I$ polynomial, compute $E(f)$ the Alexander polynomial (ill-posed)
- $A : I \times \mathbb{R}_+ \rightarrow O$ the symbolic-numeric algorithm s.t.
Given $(f, \epsilon) \in I \times \mathbb{R}_+$, compute the ϵ -Alexander polynomial
- $\forall f \in I \forall \delta \in \mathbb{R}_+, f_- : \mathbb{R}_+ \rightarrow I, \delta \mapsto f_\delta : |f - f_\delta| \leq \delta$

and based on:

- Milnor's theorem:

$$\lim_{\epsilon \rightarrow 0} A(f, \epsilon) = E(f) \text{ (convergence for exact data).}$$

- $A(f_\delta, \epsilon)$ depends continuously on the perturbed input polynomial f_δ (**continuity**);
- $\exists \alpha : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ continuous, monotonically and decreasing with $\lim_{\delta \rightarrow 0} \alpha(\delta) = 0$
s.t. $\forall f \in I \forall \delta \in \mathbb{R}_+ : |f - f_\delta| \leq \delta$

$$\lim_{\delta \rightarrow 0} A(f_\delta, \alpha(\delta)) = E(f) \text{ (convergence for perturbed data).}$$

The algorithm A_ϵ is a regularization.

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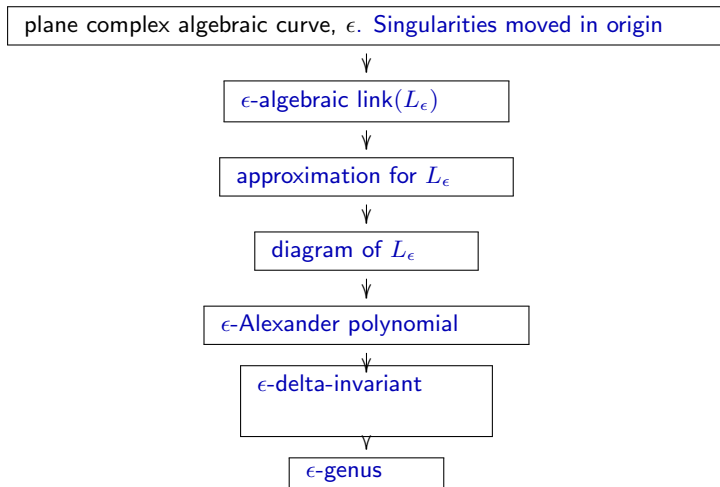
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Extension of the algorithms

Originally, we developed the algorithms for the **following invariants** of algebraic curves:



Extension of the algorithms

We extended the algorithms to compute **more invariants** of algebraic curves:

plane complex algebraic curve, ϵ . **Singularities moved in origin**



-algebraic link(L_ϵ), Milnor fibration



approximation for L_ϵ



diagram of L_ϵ



ϵ -Alexander polynomial, **properties of L_ϵ**



ϵ -delta-invariant, ϵ -Milnor number, ϵ -number of branches



ϵ -genus, ϵ -Euler characteristic, decide ϵ -parametrization

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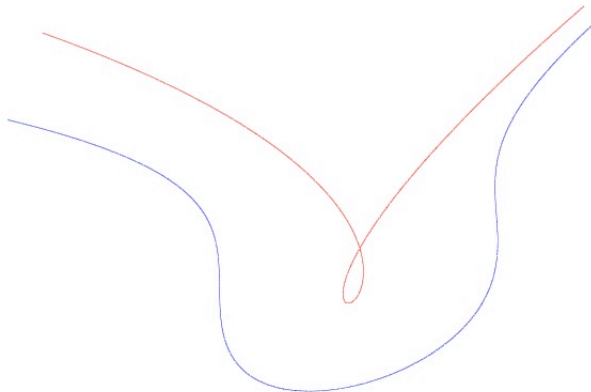
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Test experiments

Let us review the first example: Let $s_1 = (0, 0)$ of $\mathcal{C} = \{(x, y) \in \mathbb{R}^2 \mid -x^3 - xy + y^2 = 0\}$
and $s_2 = (0, 0)$ of $\mathcal{D} = \{(x, y) \in \mathbb{R}^2 \mid -x^3 - xy + y^2 - 0.01 = 0\}$!

The topology of $(0, 0)$ is not stable under small changes of the input!



The same situation happens in \mathbb{R}^4 , but we cannot visualize it!

Test experiments

But the ϵ -algebraic link is stable under small changes of the input for sufficiently small ϵ !

Equation in \mathbb{C}^2	Results
$-x^3 - xy + y^2, \epsilon = 1.00$	Trefoil, $\Delta(t_1) = t_1^2 - t_1 + 1, \delta = 1, g = 0$
$-x^3 - xy + y^2, \epsilon = 0.25$	Hopf link, $\Delta(t_1, t_2) = 1, \delta = 1, g = 0$
$-x^3 - xy + y^2 - 0.01, \epsilon = 1.00$	Trefoil, $\Delta(t_1) = t_1^2 - t_1 + 1, \delta = 1, g = 0$
$-x^3 - xy + y^2 - 0.01, \epsilon = 0.25$	Hopf link, $\Delta(t_1, t_2) = 1, \delta = 1, g = 0$



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Conclusion and future work

✓ DONE:

- automatization of symbolic-numeric algorithms for invariants of plane curves singularities in GENOM3CK;
- describe partially algorithms with principles from regularization theory;

✗ TO DO's:

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- automatization of symbolic-numeric algorithms for invariants of plane curves singularities in GENOM3CK;
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- test experiments show that the algorithms have the continuity and the convergence for exact and perturbed data properties;
- proofs of the continuity and the convergence for exact data property are constructed.

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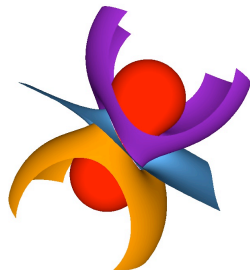
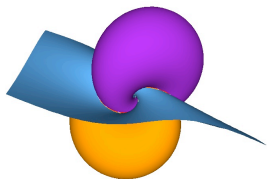
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✗ TO DO's:

- proof of the convergence for perturbed data property is needed;
- include other operations, i.e. from knot theory, algebraic geometry.



Thank you for your attention.

